



# Galaxy evolution: big questions

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A subjective choice!

## The 7 questions of galaxy evolution

- Cosmic star formation history and growth of stellar mass?
- The first galaxies: how was the universe reionized?
- Galaxy assembly and origin of galaxy morphology?
- Gas and metals cycling in and out of galaxies?
- Growth of supermassive black holes?
- Role of feedback processes from supernovae and AGN?
- What is the IMF? Is it universal?

A subjective choice!

## The three little pigs

- What is the star formation history of the Universe and the associated growth of stellar mass?
- How and when were galaxies assembled and what is the origin of the Hubble sequence?
- How was the Universe reionized and what is the connection between the first galaxies and today's galaxies?

# Big questions in galaxy evolution

When and how has a big question been 'answered' ?

When relevant data have been reliably (systematics-free) obtained

AND

we have a physics-based model that explains the data in a compelling way

# The big Bang

Dark matter

Two revolutionary ideas were proposed around 1980

Cosmic inflation  
→ initial conditions

- radiation
- particles
- $W^+$  heavy particles carrying the weak force
- $W^-$
- $Z$
- quark
- anti-quark
- electron
- positron (anti-electron)
- proton
- neutron
- meson
- hydrogen
- deuterium
- helium
- lithium

15 thousand million years

1 thousand million years

300 thousand years

3 minutes

$10^{-5}$  seconds

$10^{-34}$  seconds

$10^{-43}$  seconds

$10^{32}$  degrees

degrees

$10^{10}$  degrees

$10^9$  degrees

6000 degrees

18 degrees

3 degrees K

# What do we think we know about galaxy formation and evolution?

- The initial conditions
- Main growth mechanism
- The evolution and distribution of dark matter
- A list of the main processes likely to be important

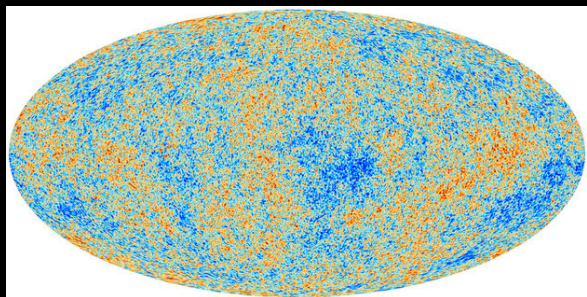
# The initial conditions for galaxy formation



Quantum fluctuations from inflation

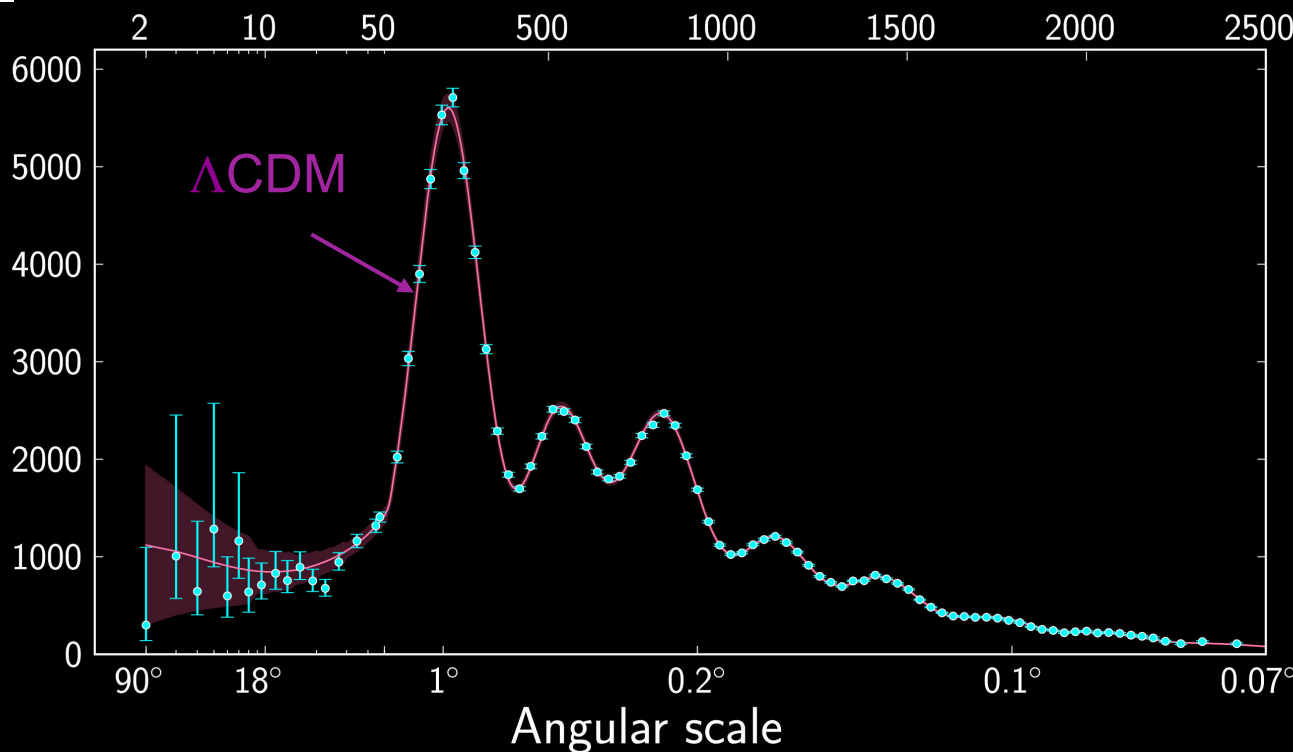


# Planck temp anisotropies in CMB



Amplitude of fluctuations at  $z \sim 1000$

Multipole moment,  $\ell$



The data confirm  
the theoretical  
predictions  
(linear theory)

Peebles '82; Bond &  
Efstathiou '80s

Planck collaboration '13

# The six parameters of minimal $\Lambda$ CDM model

| Parameter                    | <i>Planck</i> +WP |                           |
|------------------------------|-------------------|---------------------------|
|                              | Best fit          | 68% limits                |
| $\Omega_b h^2$ . . . . .     | 0.022032          | $0.02205 \pm 0.00028$     |
| $\Omega_c h^2$ . . . . .     | 0.12038           | $0.1199 \pm 0.0027$       |
| $100\theta_{MC}$ . . . . .   | 1.04119           | $1.04131 \pm 0.00063$     |
| $\tau$ . . . . .             | 0.0925            | $0.089^{+0.012}_{-0.014}$ |
| $n_s$ . . . . .              | 0.9619            | $0.9603 \pm 0.0073$       |
| $\ln(10^{10} A_s)$ . . . . . | 3.0980            | $3.089^{+0.024}_{-0.027}$ |

A  $40\sigma$  detection of non-baryonic dark matter using only  $z=1000$  data!



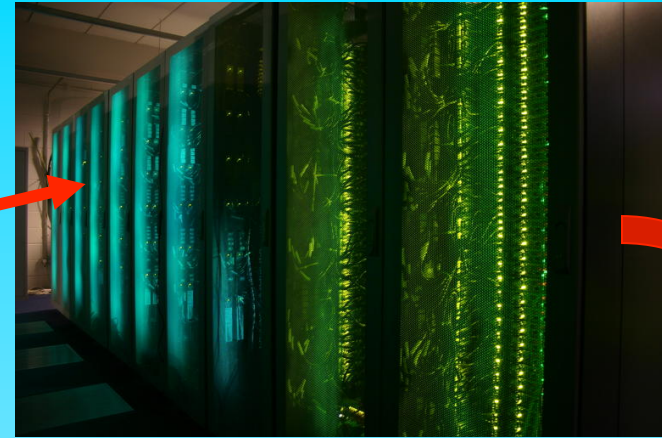
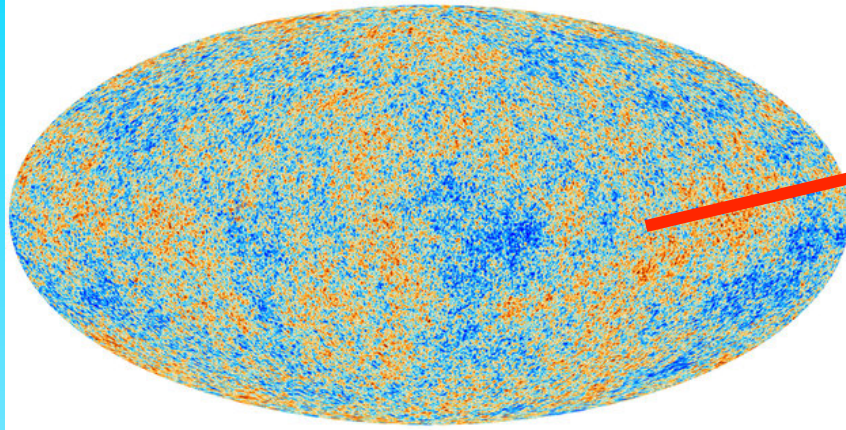
# Growth mechanism

Primordial fluctuations grow by gravitational instability driven by dark matter

# The formation of cosmic structure

“Cosmology machine”

$t=380,000$  yrs     $\delta\rho/\rho \sim 10^{-5}$



Simulations



Supercomputer **simulations** are the best technique for calculating how small **primordial perturbations** grow into **galaxies** today

$t=13.8$  billion yrs

$\delta\rho/\rho \sim 1-10^6$

$z = 48.4$

$T = 0.05 \text{ Gyr}$

500 kpc

A visualization of the cosmic structure at redshift  $z = 48.4$ . The image shows a complex network of filaments and nodes, with a color gradient from dark purple to bright yellow. A scale bar at the bottom center indicates a length of 500 kpc. The text  $T = 0.05 \text{ Gyr}$  is located in the top right corner.



# Dark matter

The internal structure of halos and the large-scale distribution of cold dark matter are known

VIRGO

# The Millennium/Aquarius simulation series

Simulations give a full characterization of the (hierarchical) clustering of cold dark matter on large and small scales.

125 Mpc/h

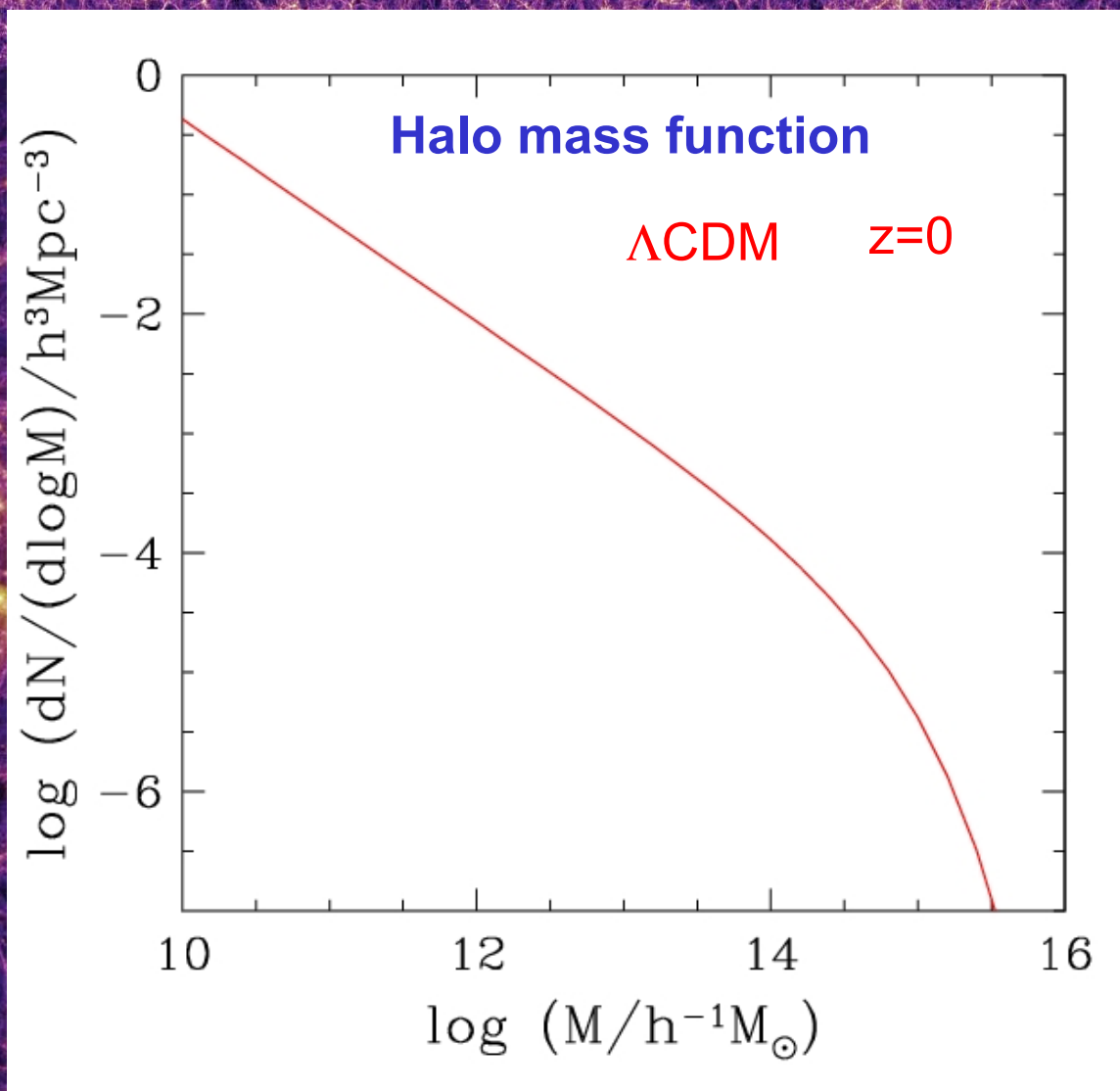
31.25 Mpc/h

0.5 Mpc/h

Springel et al '05, '08

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# The Millennium/Aquarius simulation series



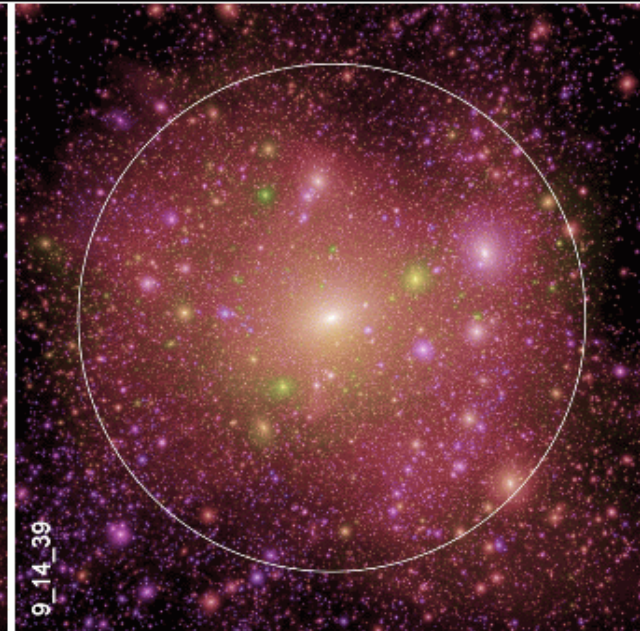
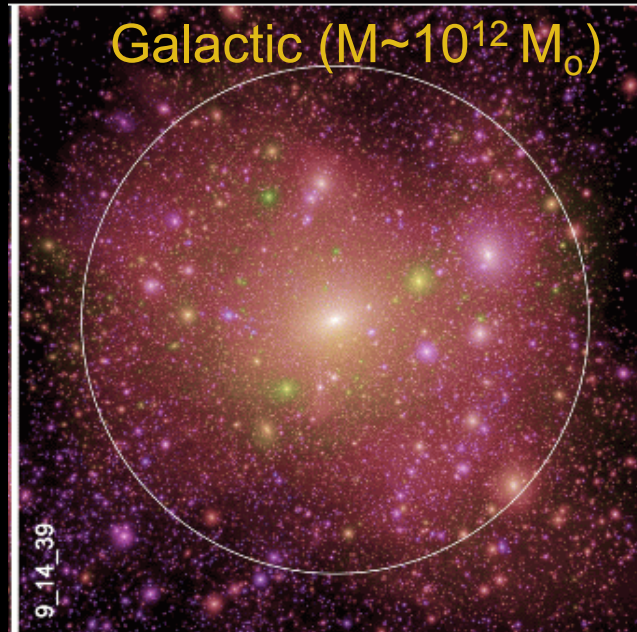
Springel et al '05, '08

VIRGO

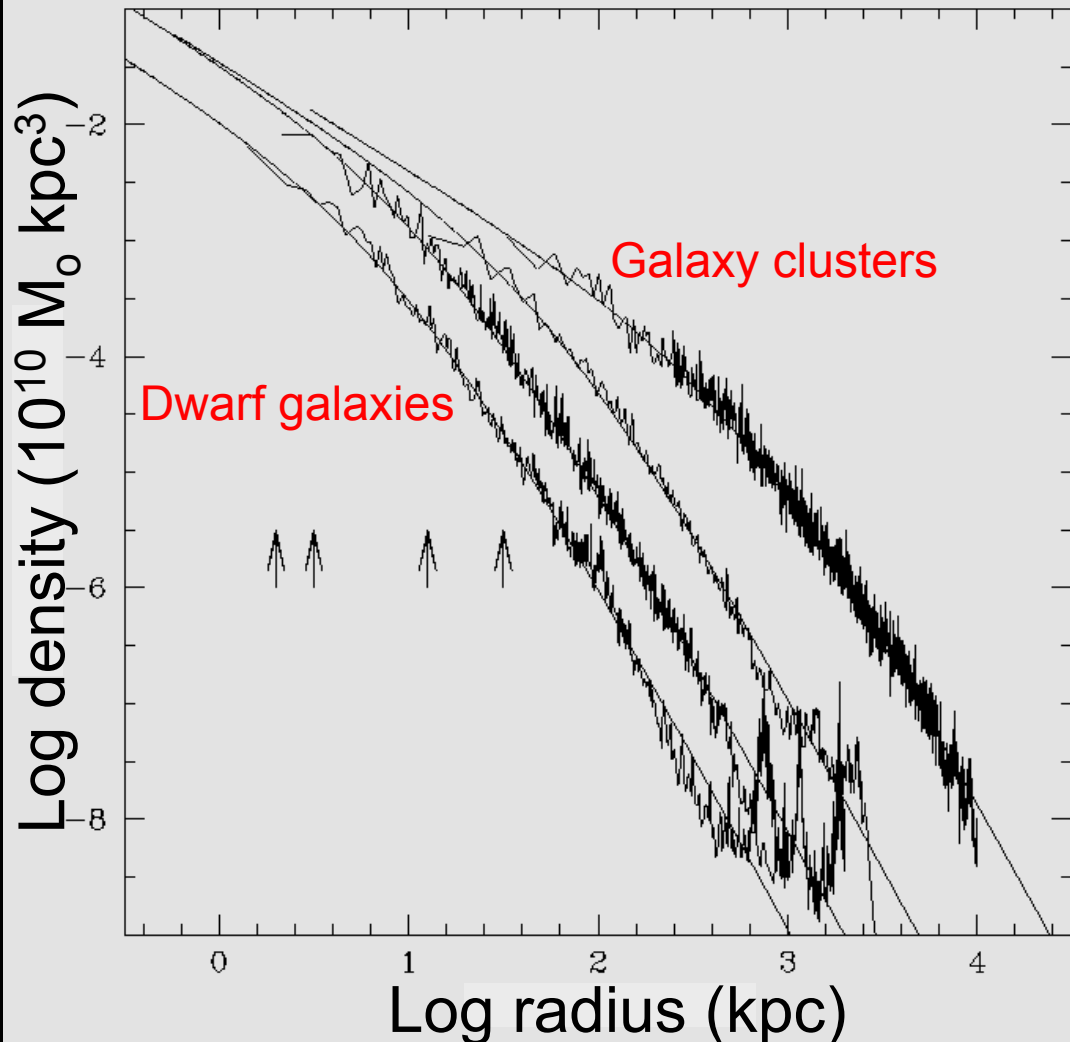
# Aquarius (galactic) & Phoenix (cluster) halos

## Self-similarity of CDM halos

The structure and  
substructure of CDM  
halos are  
approximately self-  
similar



# The Density Profile of Cold Dark Matter Halos



Shape of halo profiles  
~independent of halo mass &  
cosmological parameters

Density profiles are “cuspy”  
no ‘core’ near the centre

Fitted by simple formula:

$$\frac{\rho(r)}{\rho_{crit}} = \frac{\delta_c}{(r/r_s)(1+r/r_s)^2}$$

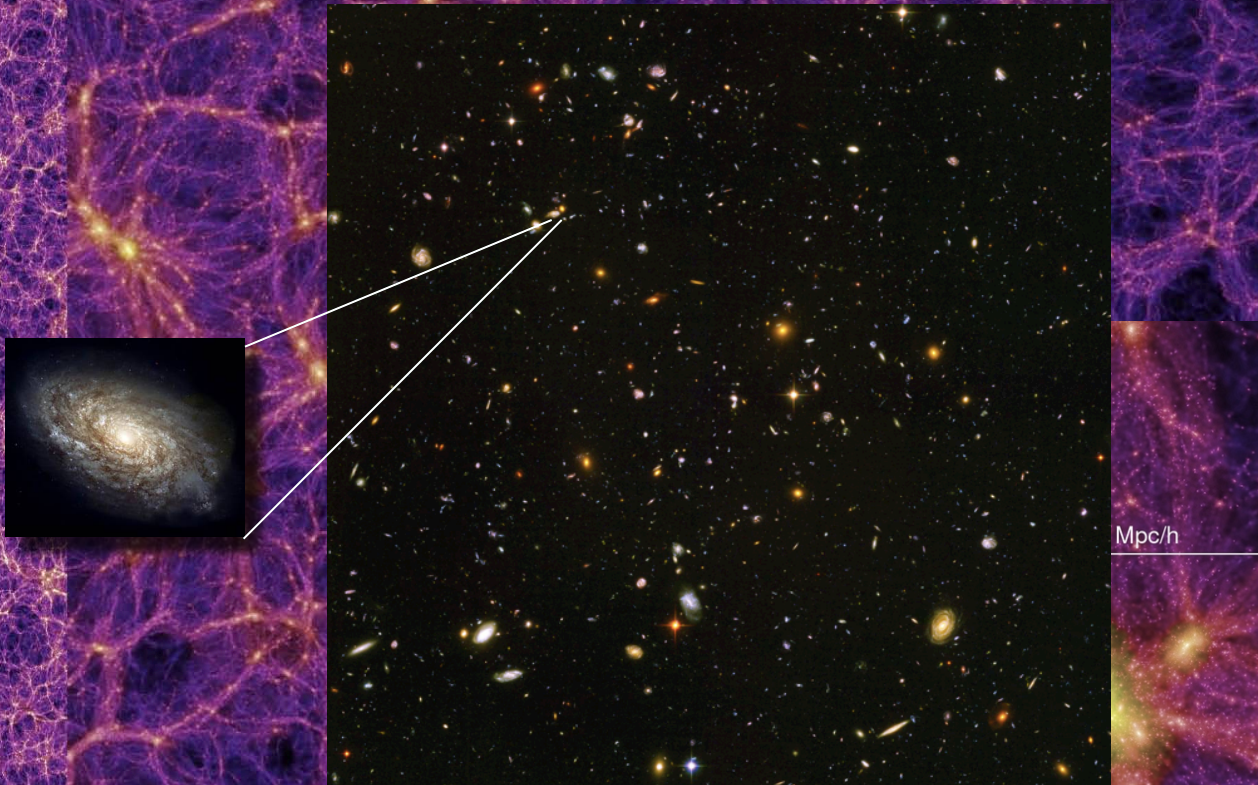
(Navarro, Frenk & White '97)

More massive halos and  
halos that form earlier have  
higher densities (bigger  $\delta$ )

VIRGO

## The Millennium/Aquarius simulation series

The (relatively) “easy” part of the problem



Calculating the evolution of baryons is more complicated

Springel et al '05, '08

# The main processes likely to be important in galaxy formation

- The main astrophysical processes involved:
  - Gravity-driven hierarchical growth of dark matter halos
  - Cooling of (rotating gas) and condensation into DM halos
  - Star formation
  - Feedback from energy due to stellar evolution and SN
  - Formation of supermassive black holes and AGN feedback
  - Tidal and ram pressure stripping of gas
  - Tidal stripping and mergers of galaxies as their halos merge
  - Disk instabilities, secular evolution

# Modelling galaxy formation

## Gas dynamical processes

Flows - shocks - radiative cooling - heating

Hydrodynamical simulation



Solve hydro equations  
numerically

Semi-analytic model



Assume spherical symmetry  
& solve analytically

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“Sub-grid physics”

- + Gas dynamics in full generality
- Limited dynamic range
- Expensive: cannot explore range of sub-grid models

Phenomenological model

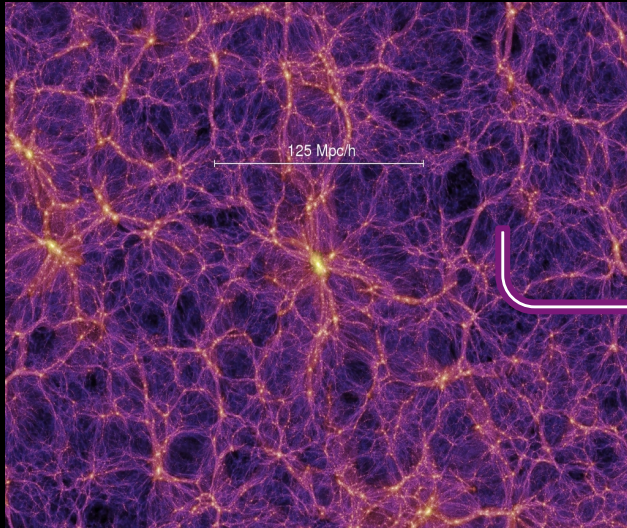
- Approximate gas dynamics
- + Unlimited dynamic range
- + Can easily explore different sub-grid models

# The goals of gal. population models

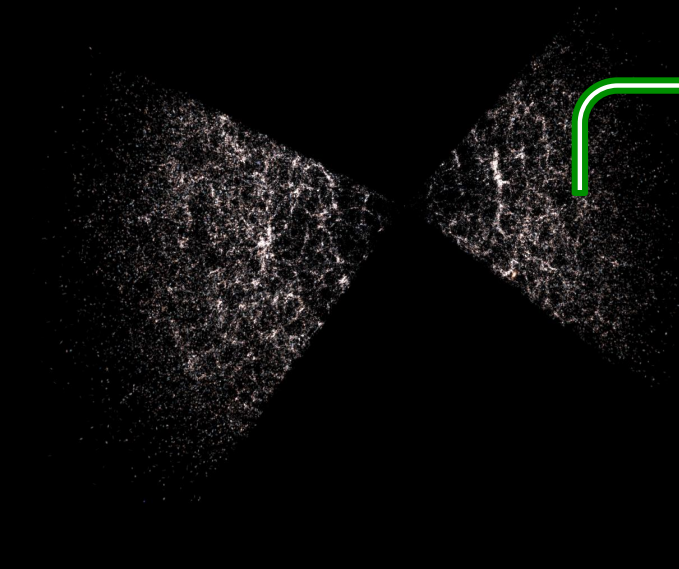
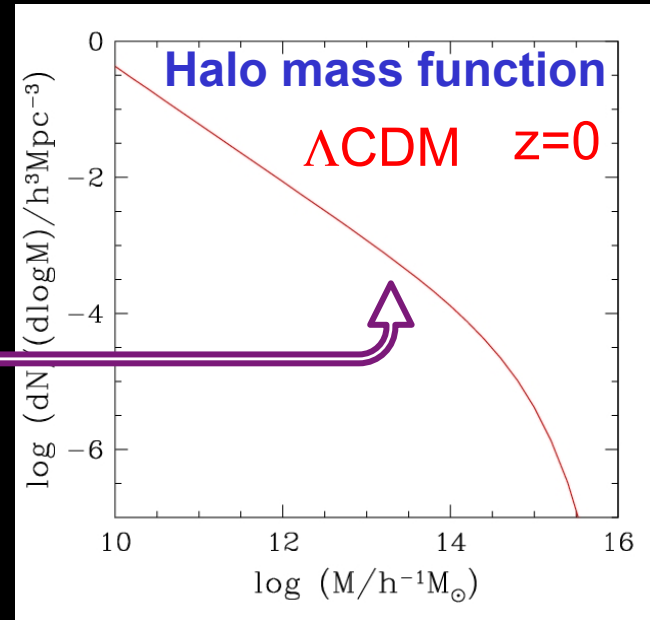
- Explore the statistics and interaction of the many **processes** affecting **stars** and **gas** within growing CDM structures
- Understand how the effects of these **processes** are **reflected** in the various **observed** population properties of galaxies and their evolution -- **abundances, scaling relations, clustering**
- Allow **interpretation** of large observational **surveys** in terms of the rates, efficiencies and significance of these processes
- **NOT** to make a definitive ***a priori* physical** model for the formation of everything from linear  $\Lambda$ CDM initial conditions



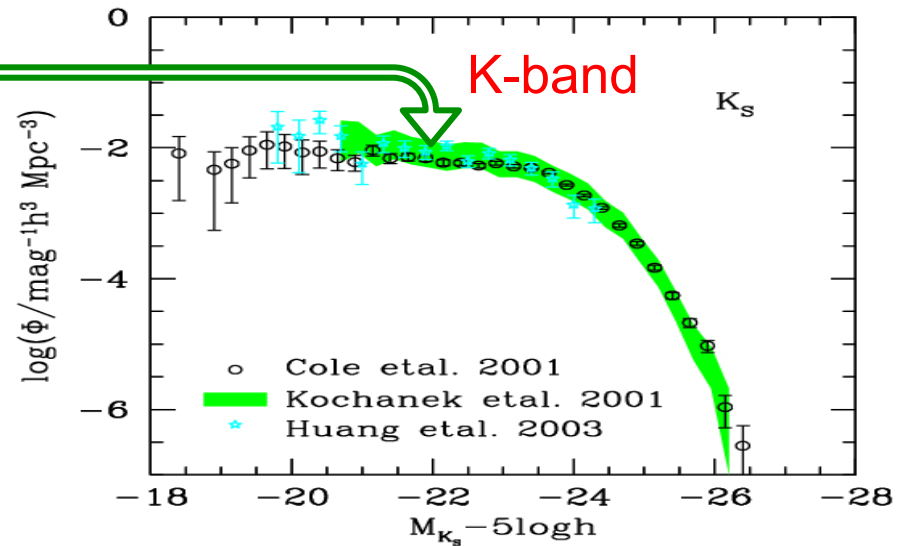
# Abundance of gals & dark halos



Millennium run



2dFGRS



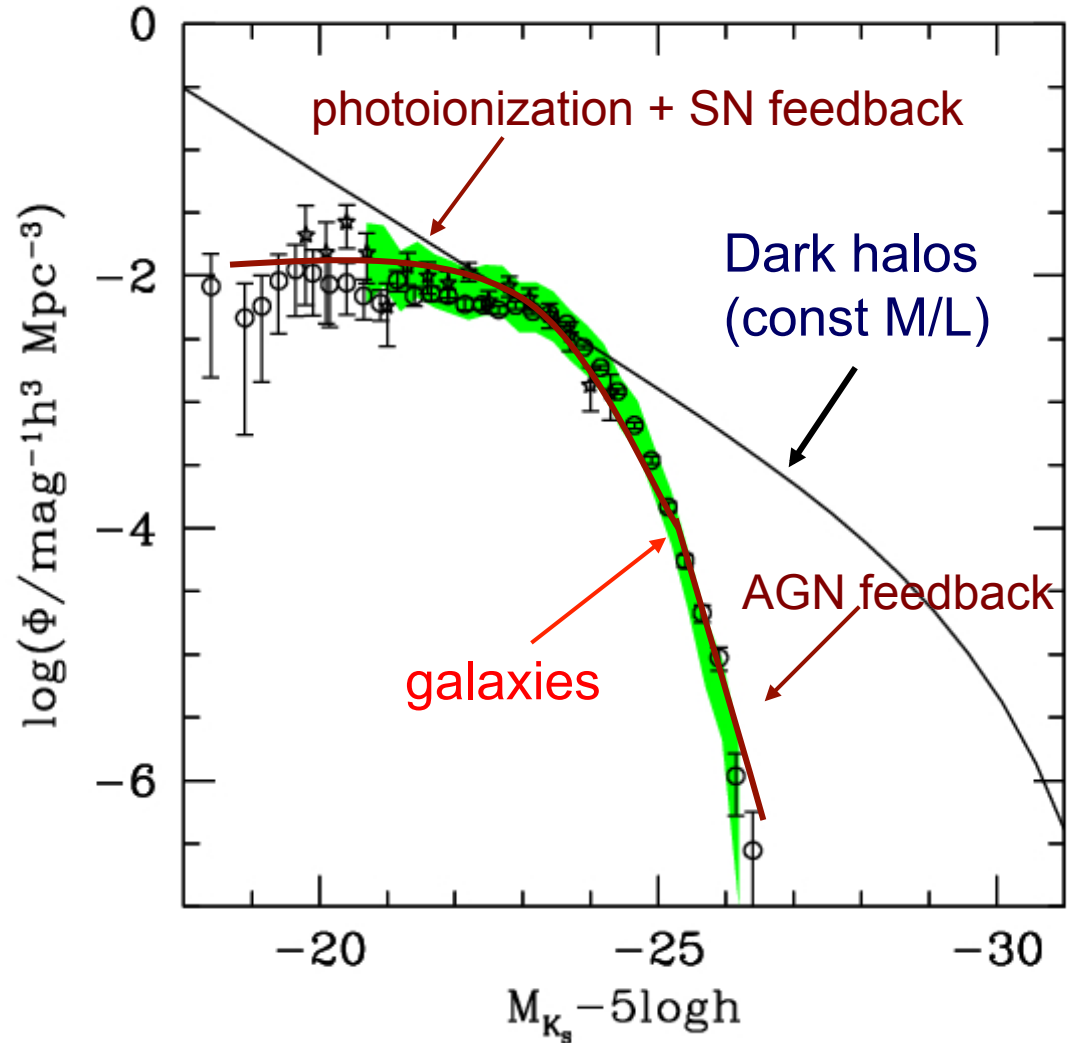
# The galaxy luminosity function

The halo mass function and the galaxy luminosity function have different shapes



Galaxy luminosity not just  $\propto$  halo mass

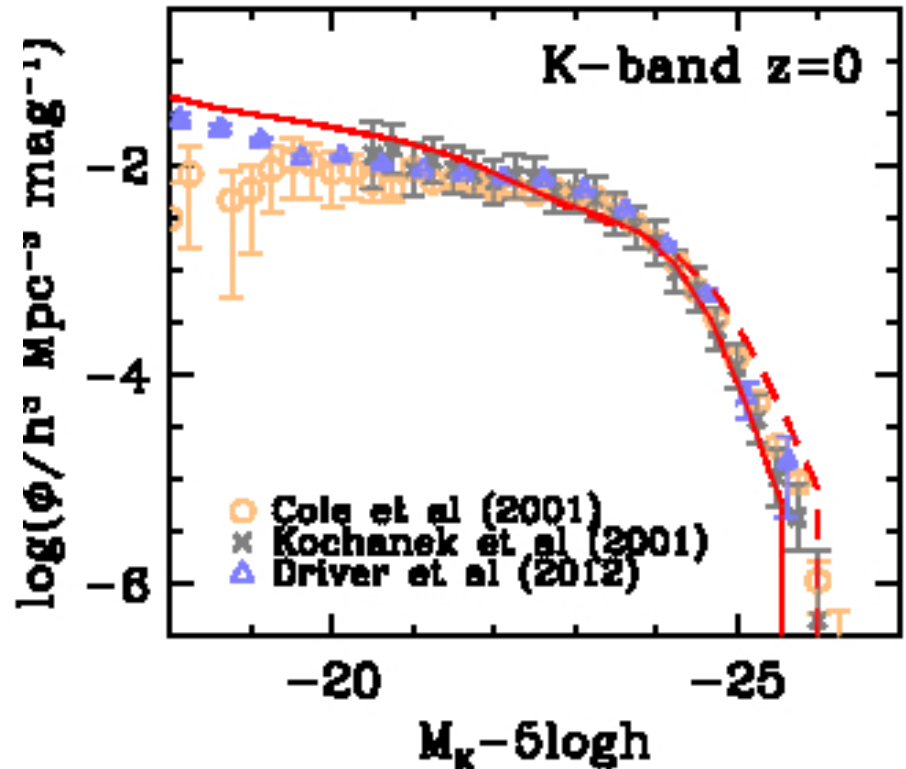
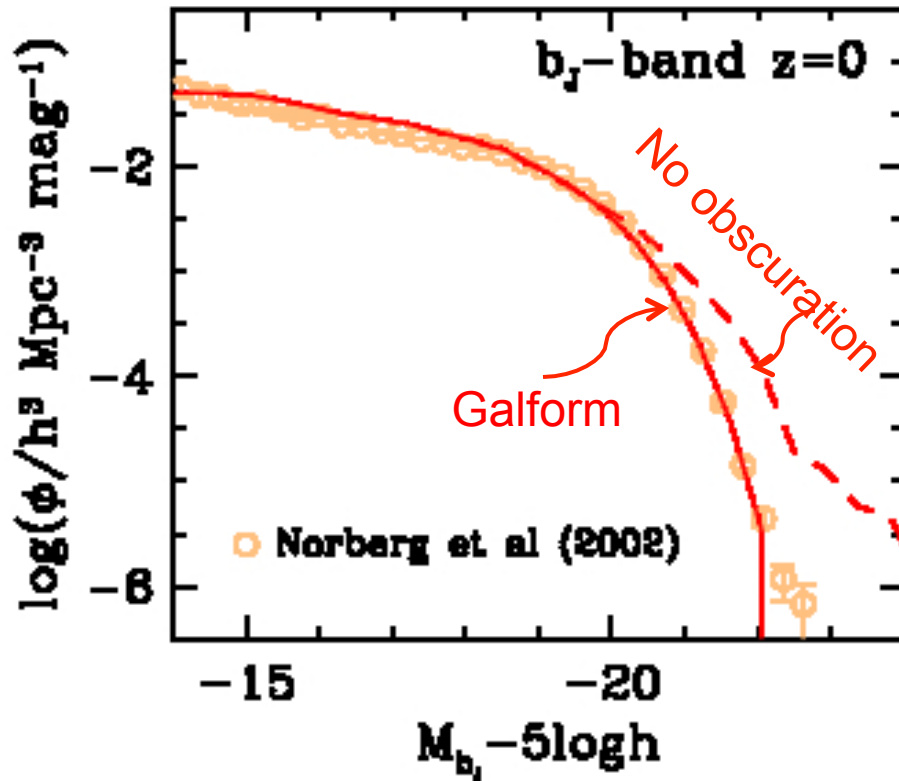
Complicated variation of M/L with halo mass



White & Frenk '91; Kauffmann et al '93; Benson et al '03; Croton et al '05; Bower et al. '06

# The galaxy luminosity function

Not a “prediction” of the model – parameters adjusted to get this match!



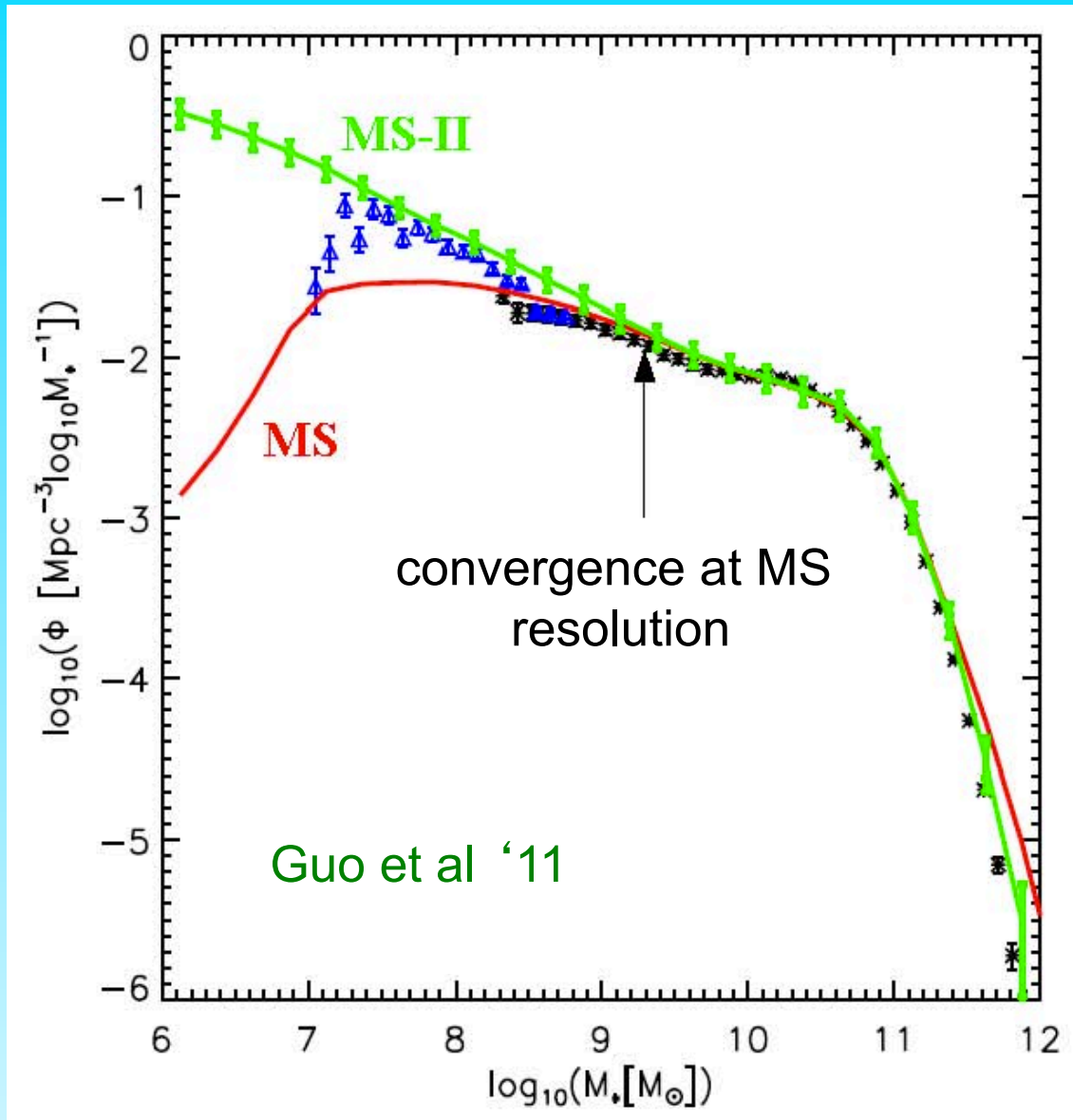
Lacey et al. '14

# The stellar mass function

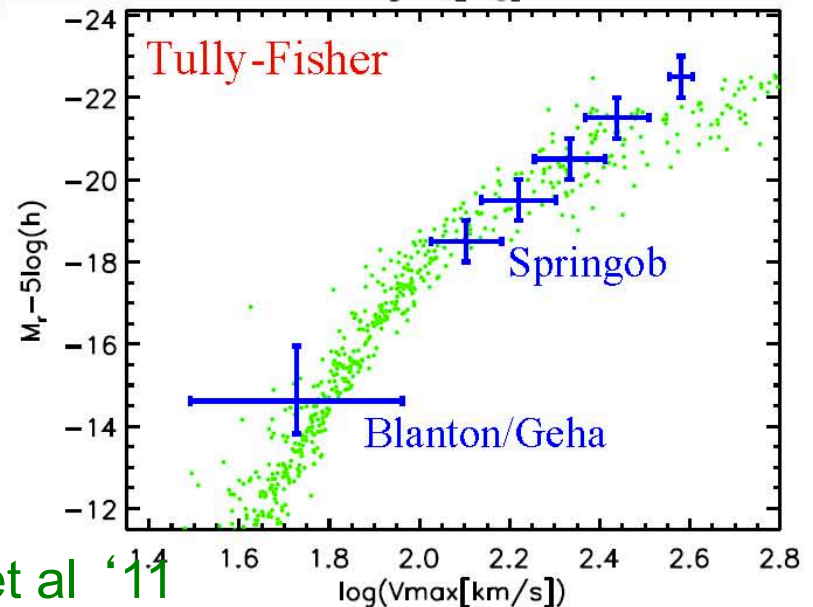
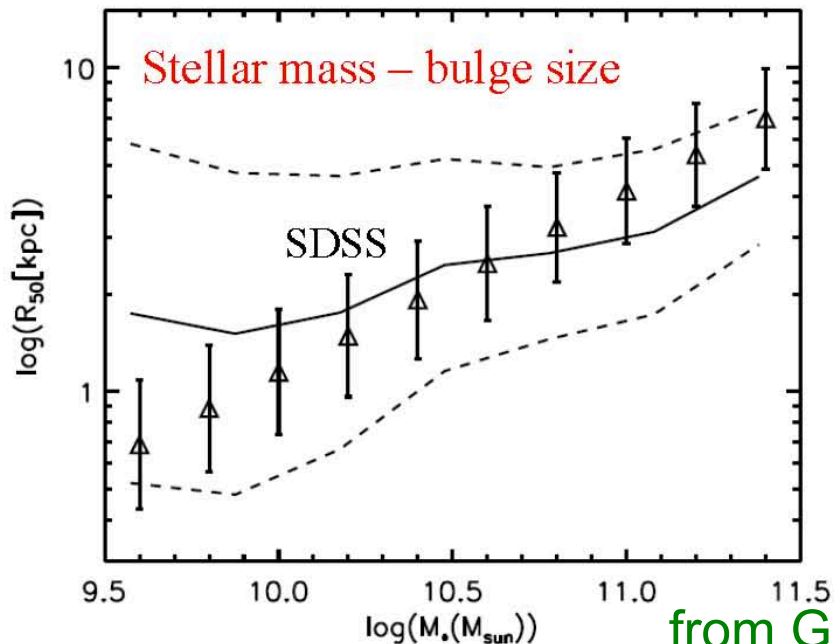
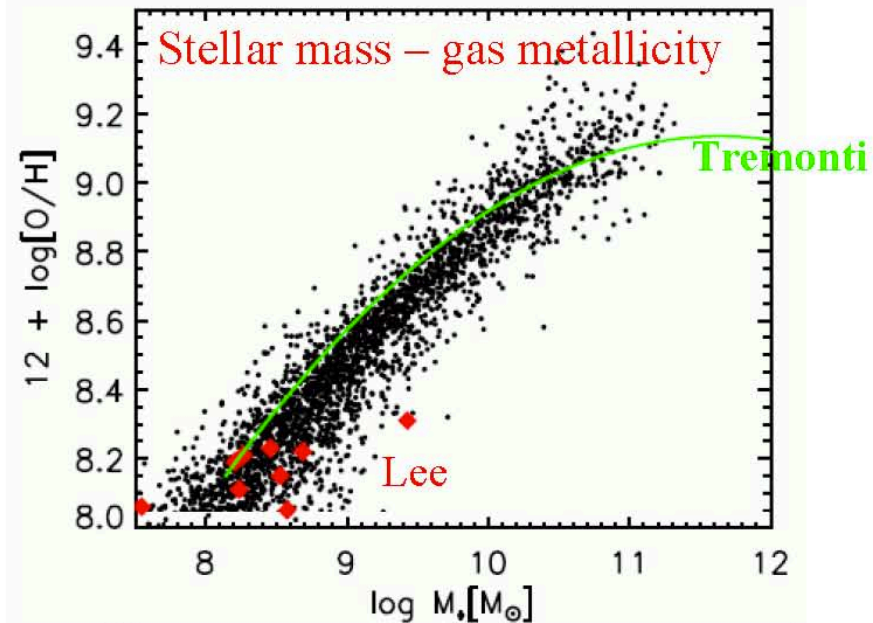
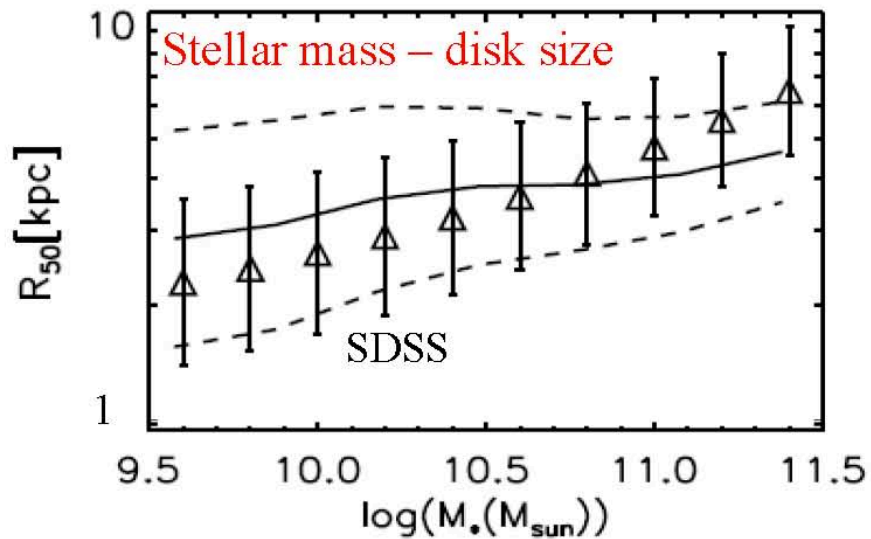
Results for MS and  
M-II converge

Simulated mass function  
fits the data over 5  
decades in stellar mass!

Guo et al. '11



# Basic galaxy properties



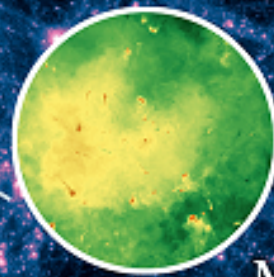
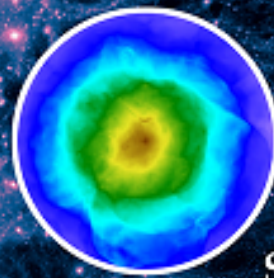
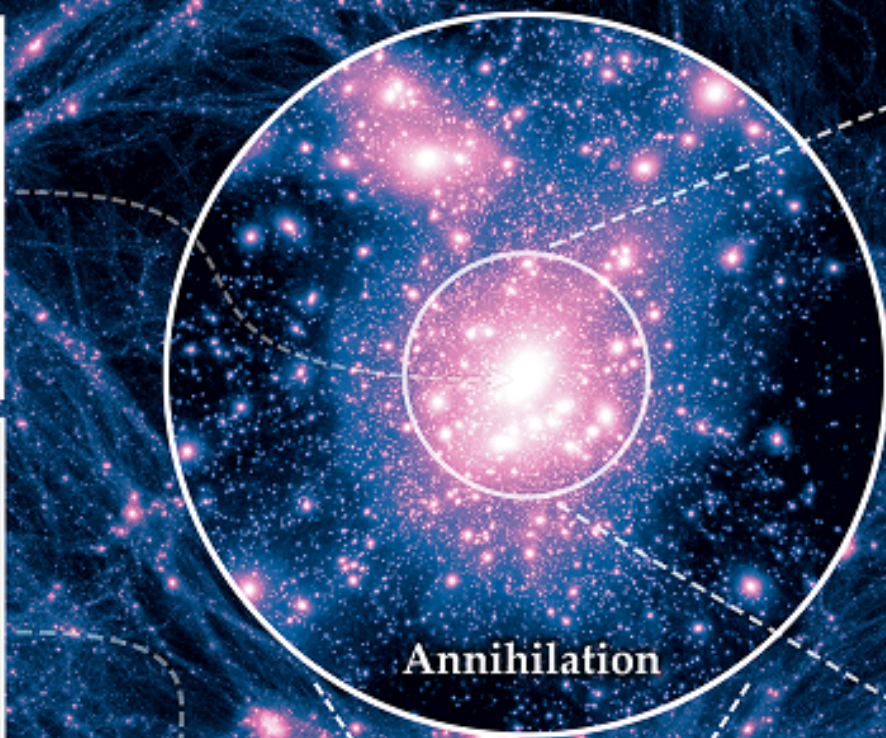
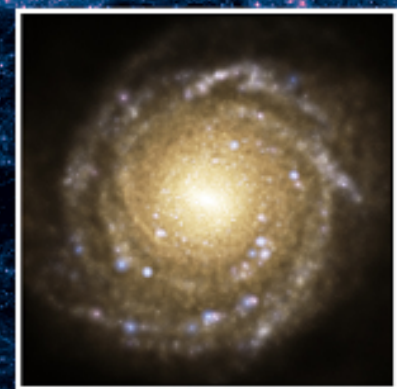
from Guo et al '11

# Gas simulations of galaxy populations

- New generation of gasdynamic simulations of cosmologically representative volumes ( $\sim 100 \text{ Mpc}^3$ ) produce realistic galaxies
- Slower, much less resolution/volume than semianalytics but do not assume spherical symmetry and follow evol. of gas in detail

# The Illustris Simulation

M. Vogelsberger S. Genel V. Springel P. Torrey D. Sijacki D. Xu G. Snyder S. Bird D. Nelson L. Hernquist



Dark Matter Density

Gas Density



VIRGO

# The “Evolution and assembly of galaxies and their environment” (**EAGLE**) simulation project

**Durham:** Richard Bower, Michelle Furlong, Carlos Frenk, Matthieu Schaller, James Trayford, Yelti Rosas-Guevara, Tom Theuns, Yan Qu, John Helly, Adrian Jenkins.

**Leiden:** Rob Crain, Joop Schaye.

**Other:** Claudio Dalla Vecchia, Ian McCarthy, Craig Booth...

+ **Virgo Consortium**  
NAM 2014

*DiRAC*

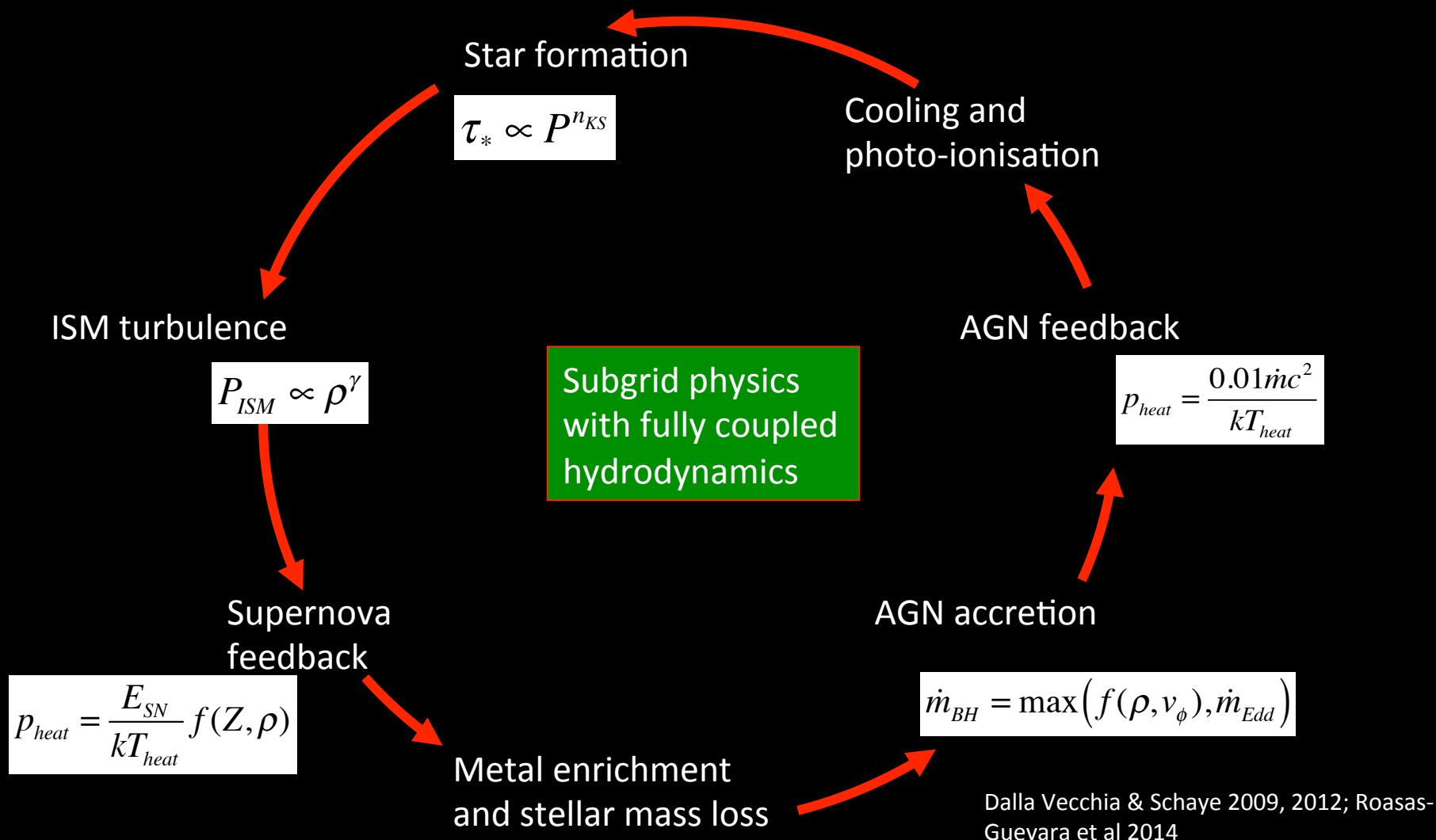
**ICC**

Institute for  
Computational Cosmology

**PRACE**

# Sub-grid schemes in EAGLE

(what's different about EAGLE?)



# The EAGLE simulations

EVOLUTION AND ASSEMBLY OF GALAXIES AND THEIR ENVIRONMENTS

A project of the Virgo consortium

$z = 19.9$

$L = 25.0 \text{ cMpc}$

Visible components:

CDM

# The Eagle Simulations

EVOLUTION AND ASSEMBLY OF GALAXIES AND THEIR ENVIRONMENTS

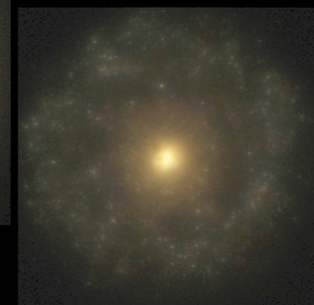
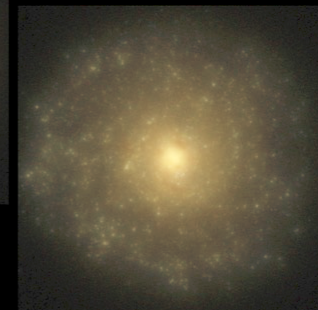
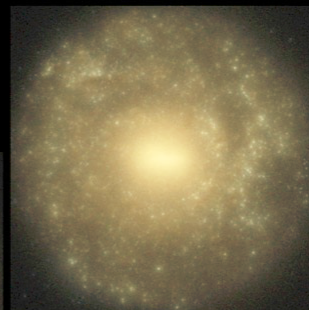
The Hubble Sequence realised in cosmological simulations

E0

E7

S0

SB



Irr

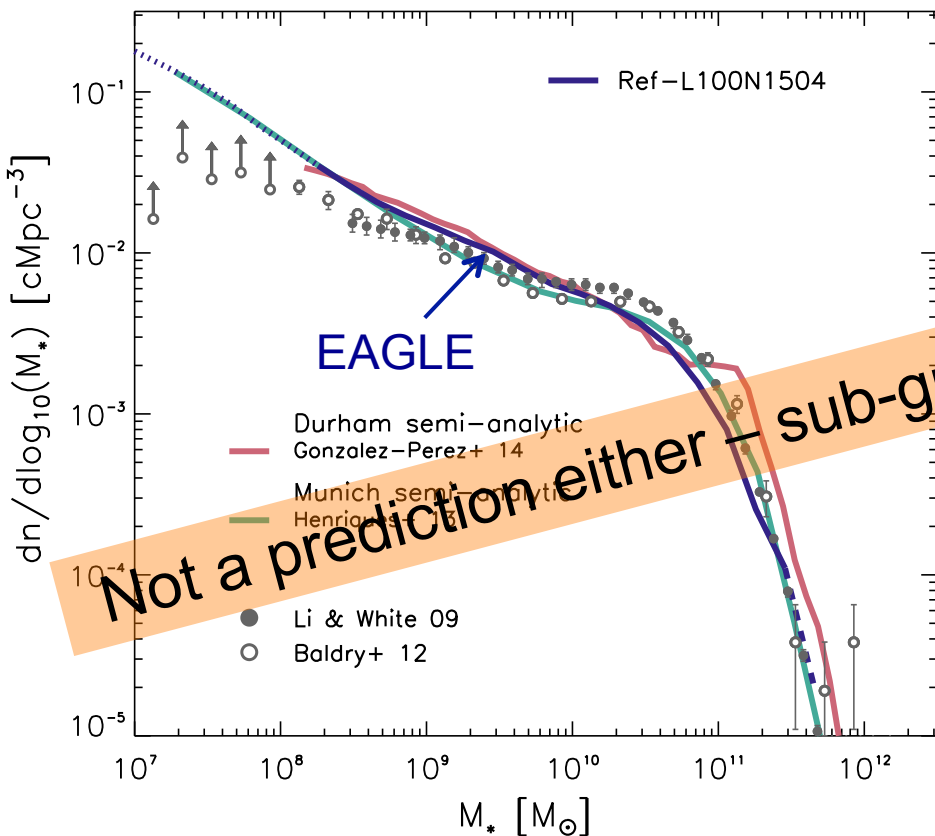
S

Trayford et al '14

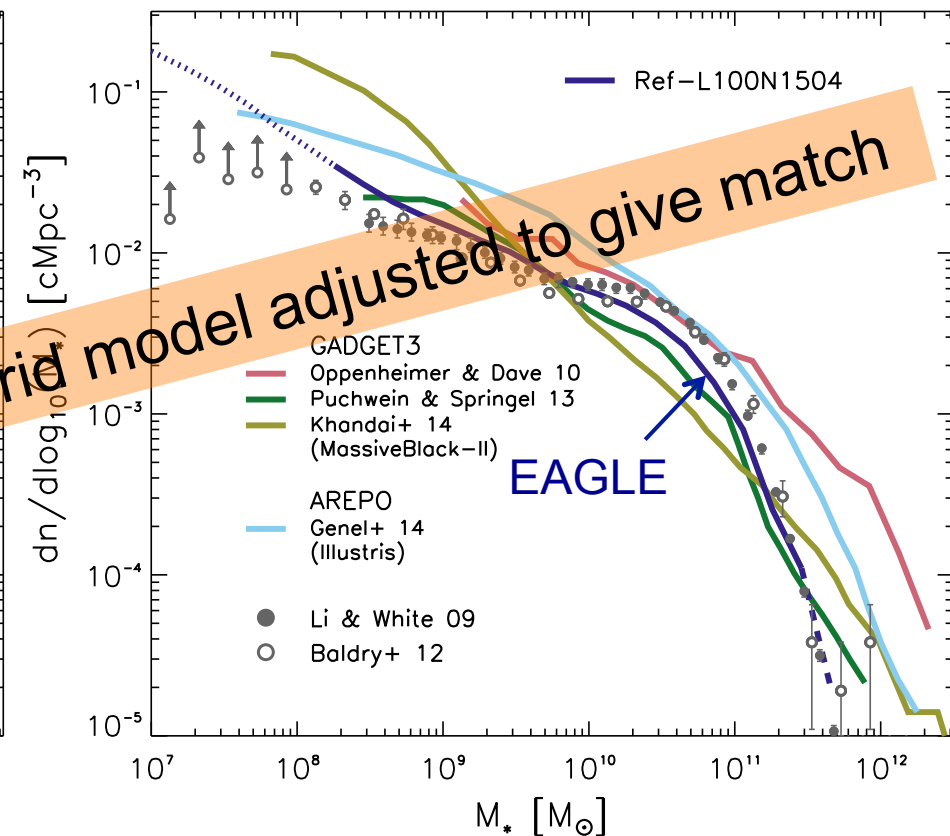


# Galaxy stellar mass function

Comparison to semi-analytic models



Comparison to other Hydro simulations





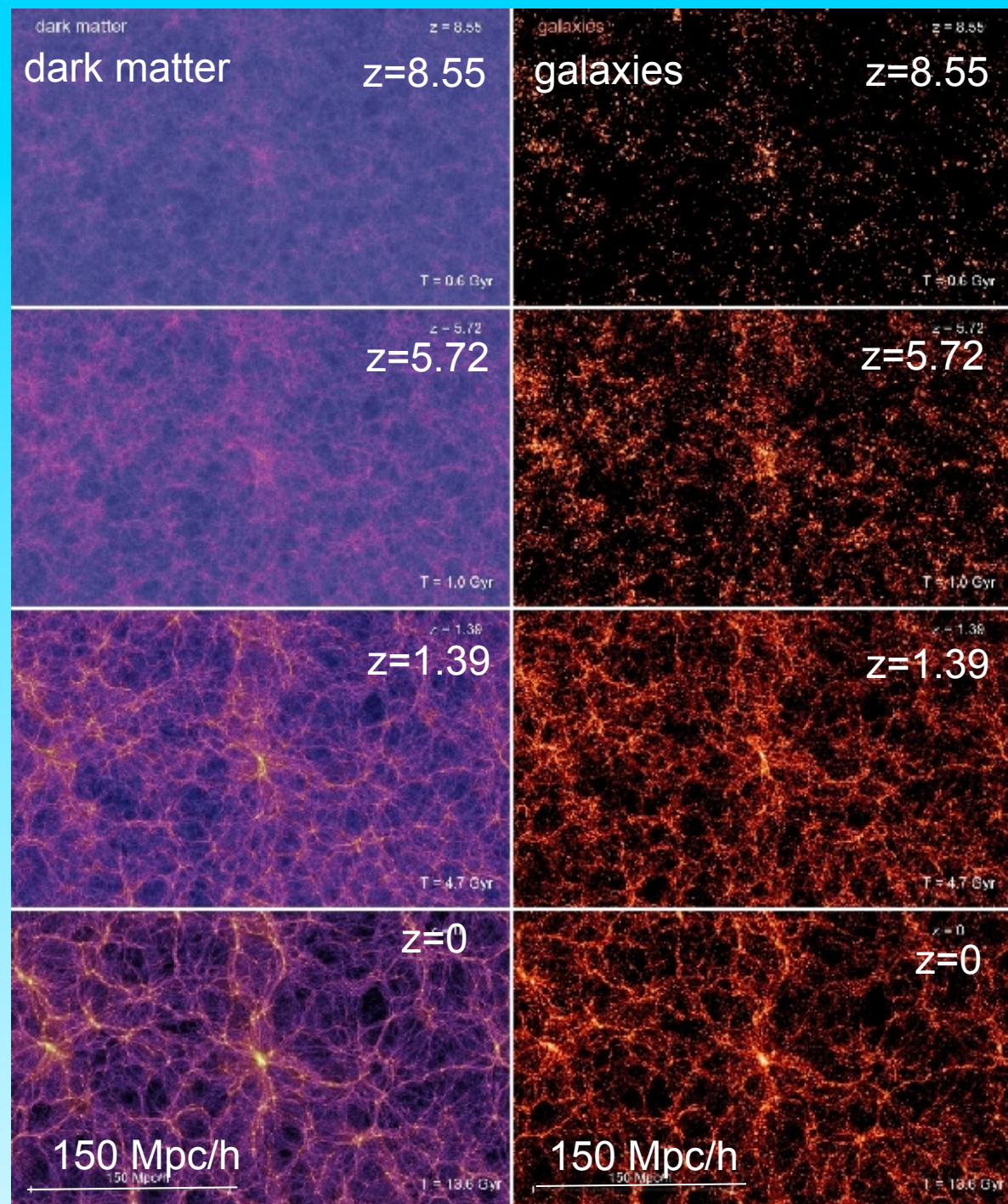
# Big question 1

What is the star formation history of the Universe and the associated growth of stellar mass ?

## Millennium Simulation

At early times, the **dark matter** is **smooth**, but the **galaxies** are already strongly **clustered**

Springel, Frenk & White  
Nature, May/06



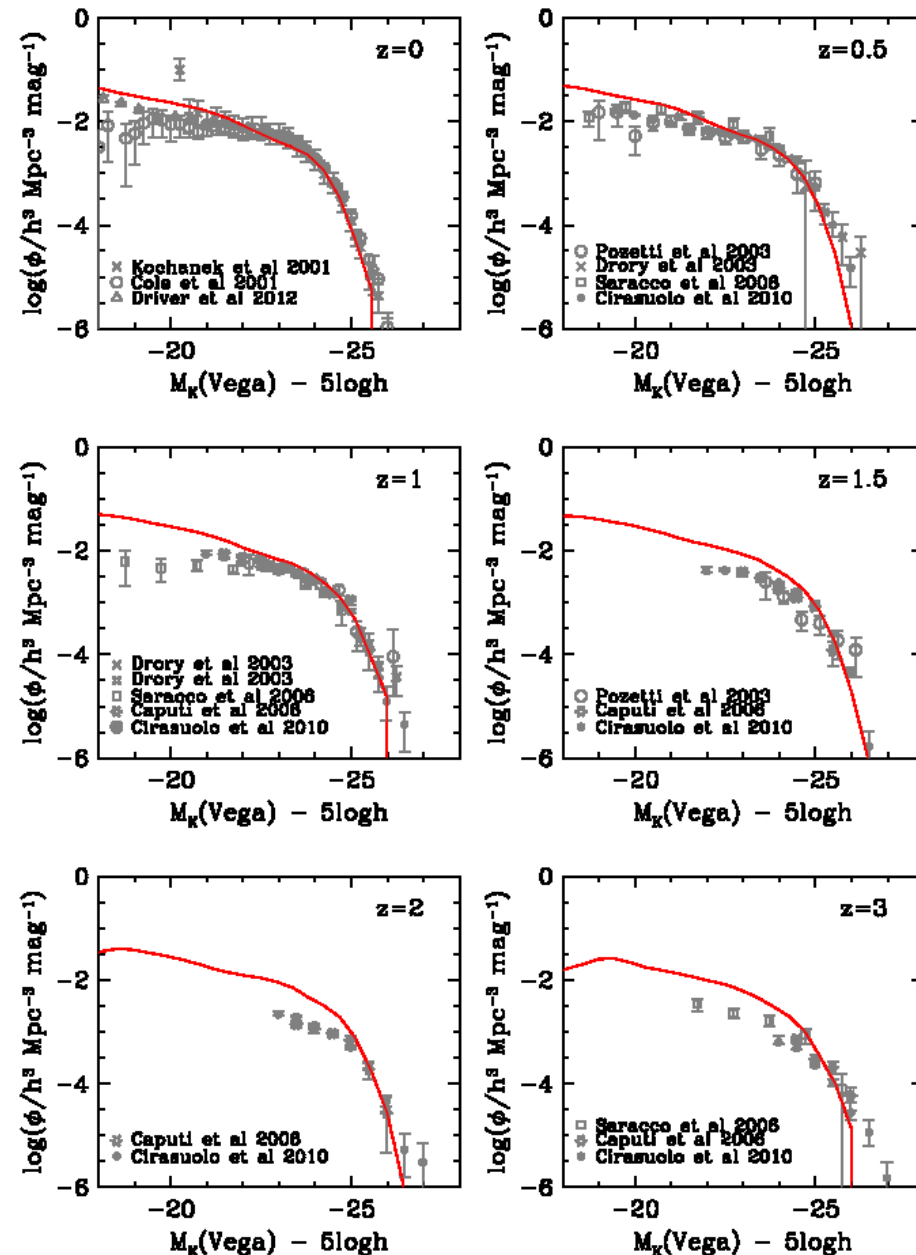
# Evolution of the luminosity fn.

## GALFORM

GALFORM gives excellent match to the evolution of the K-band galaxy luminosity function to  $z=3$

This is a genuine prediction!

Lacey, Baugh, Frenk et al. '15

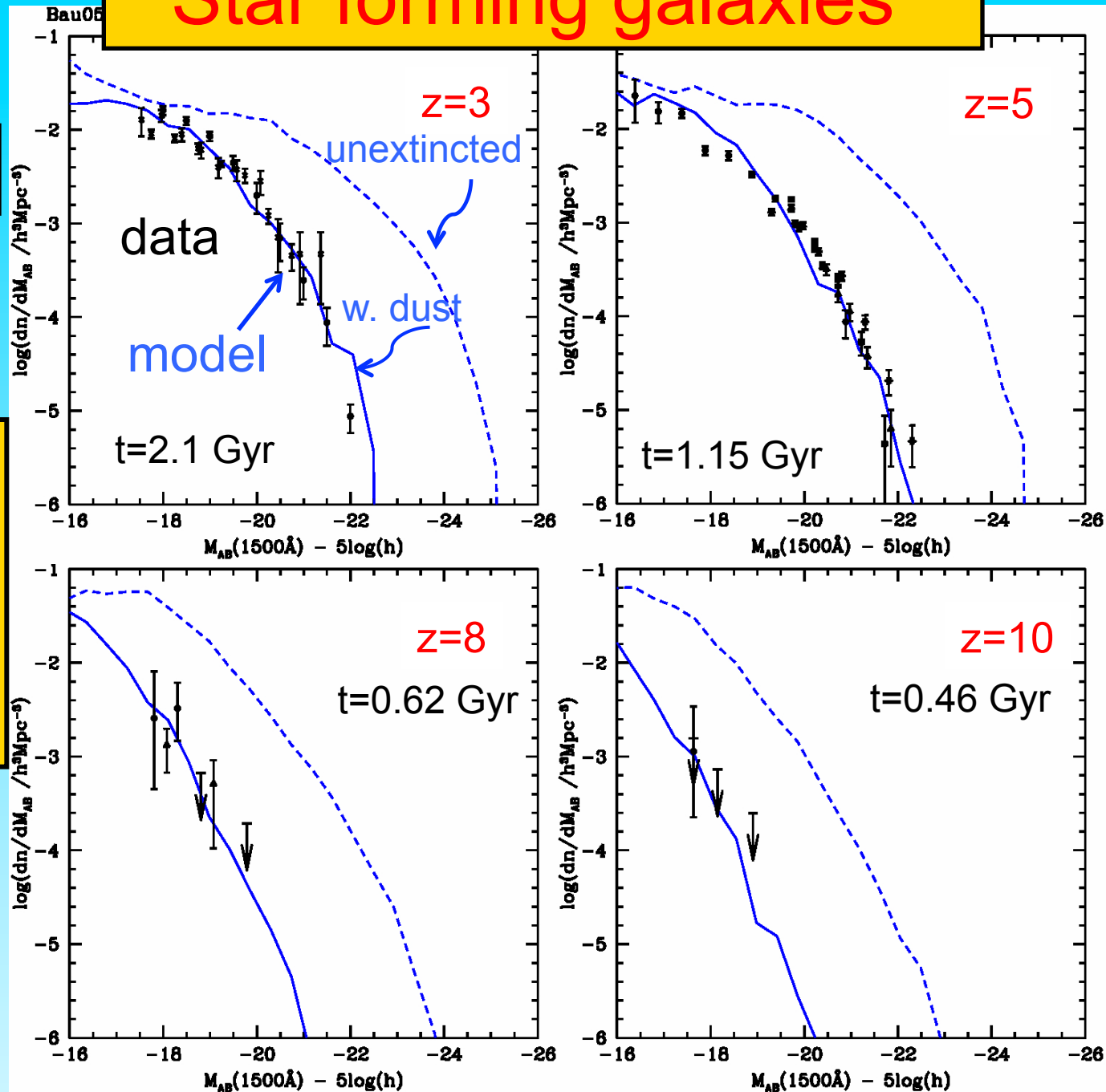


# GALFORM

## Star forming galaxies

### Evolution of Lyman-break galaxy lum. function

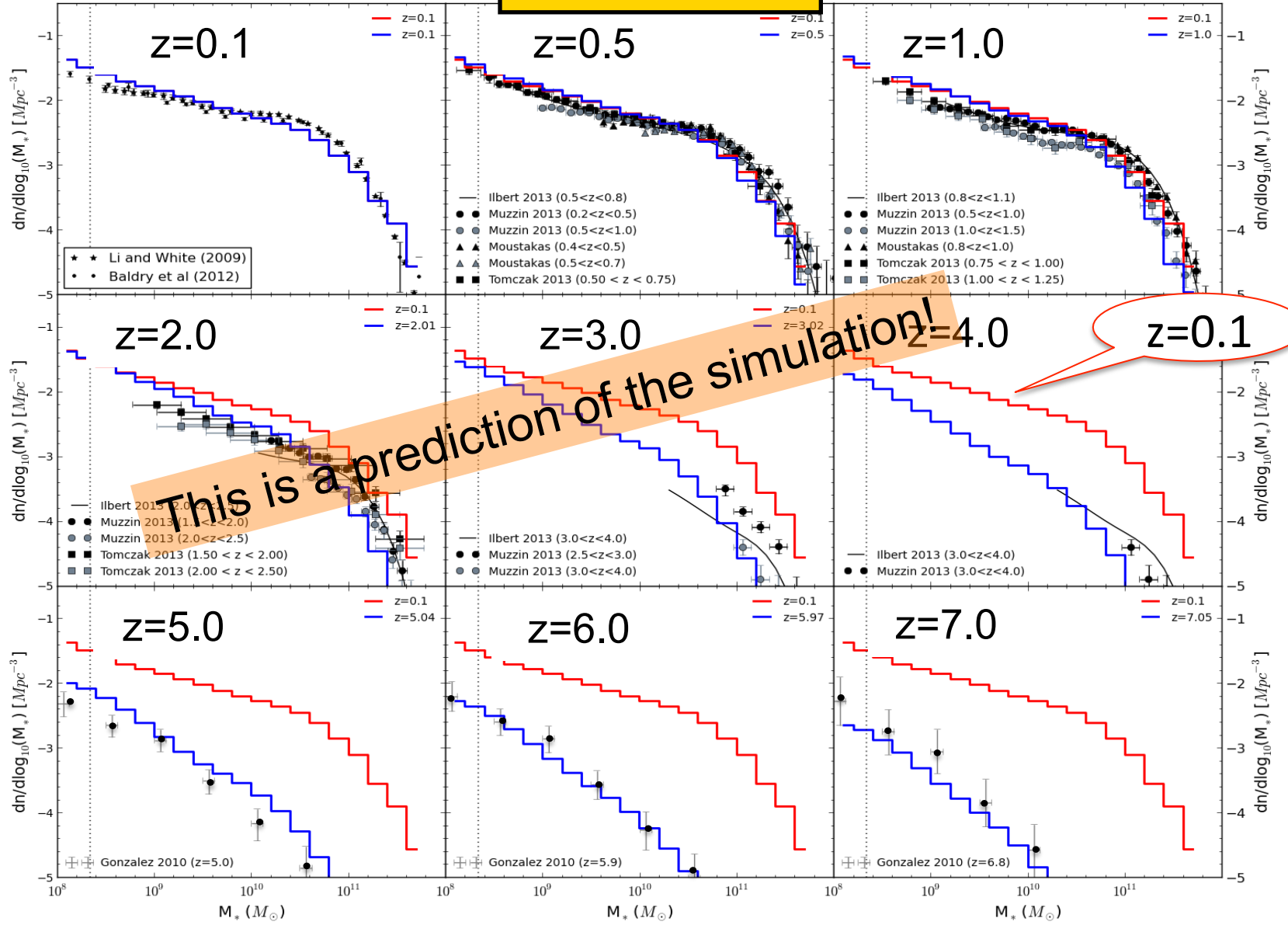
Lacey, Baugh,  
Frenk, Benson '12



# Evolution of the stellar mass fn.

## EAGLE

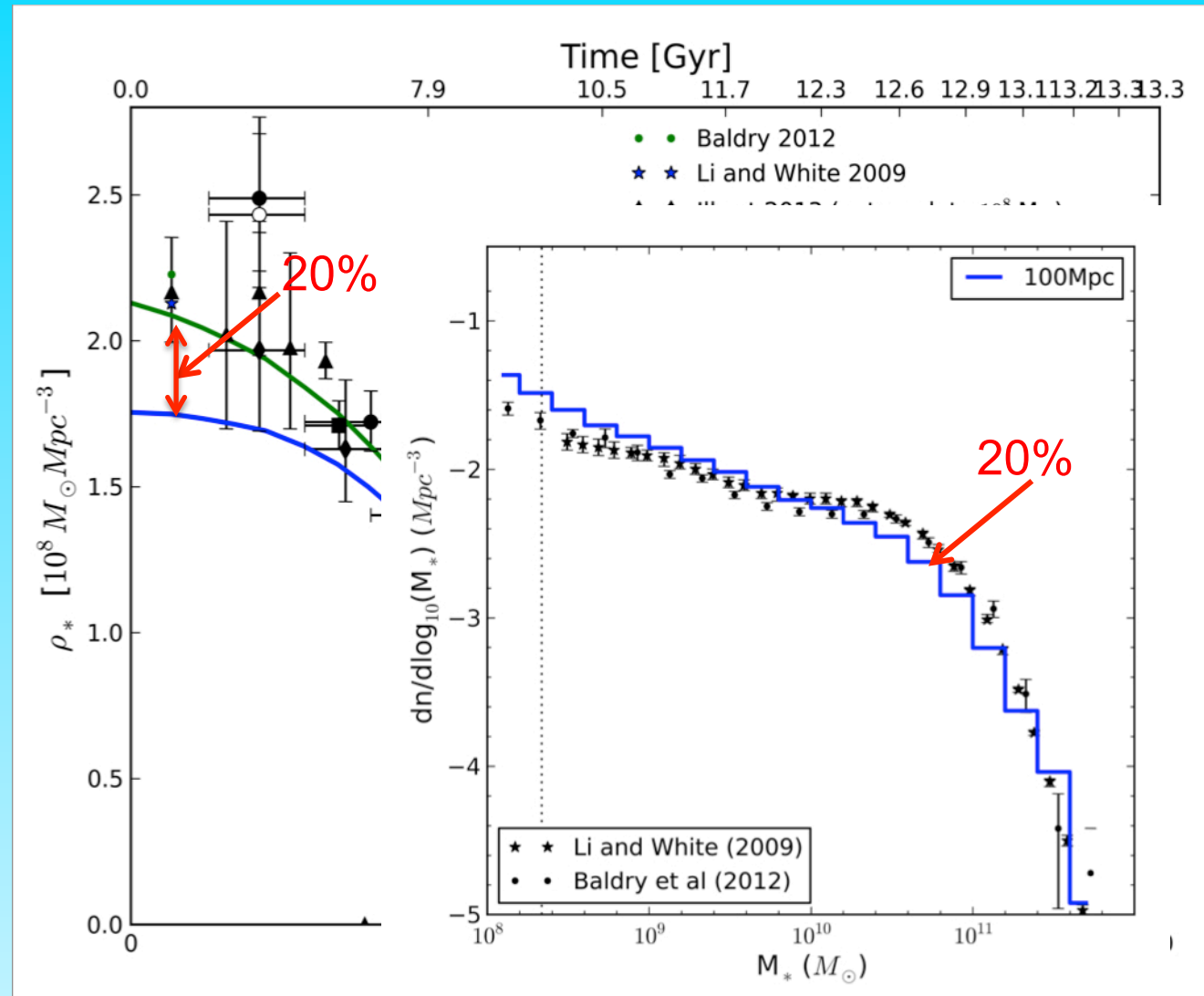
### Galaxy Stellar Mass Function



## EAGLE

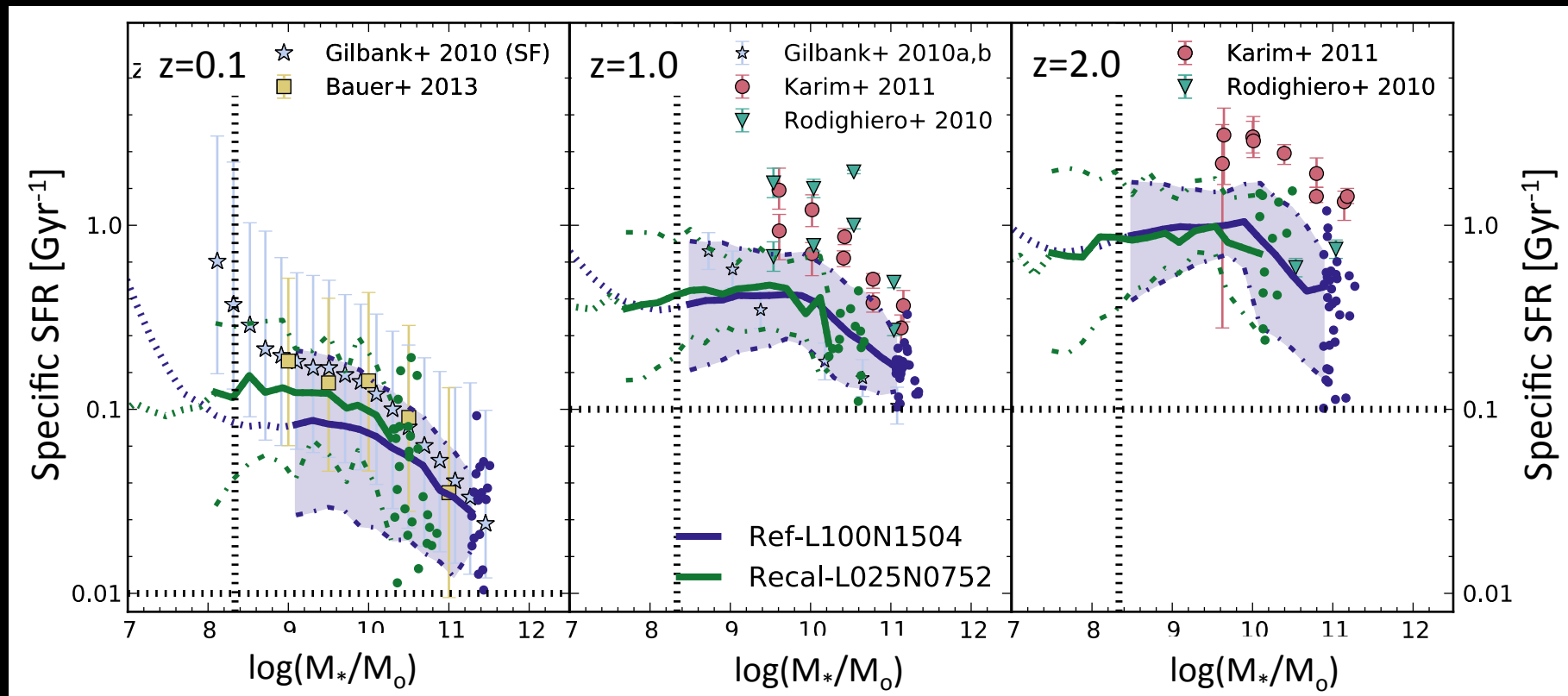
Stellar mass density formed by z

The model agrees with the data within 20%



# Galaxy Formation in the Eagle Universe

- Specific star formation rates

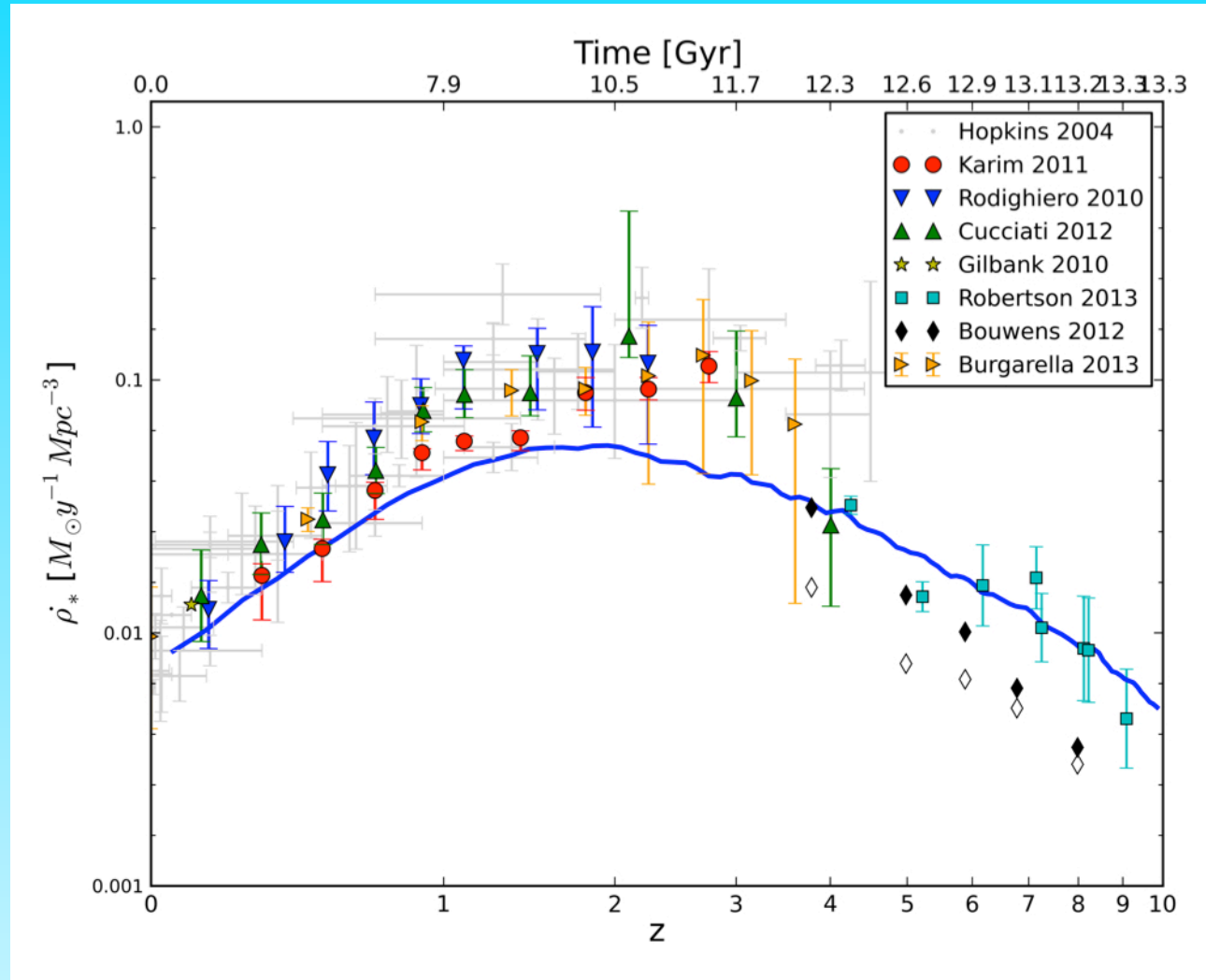


# Evolution of the SFR density

## EAGLE

SFR density as a  
fn of  $z$

The model lies  
below the data by  
> x2 at most  $z$



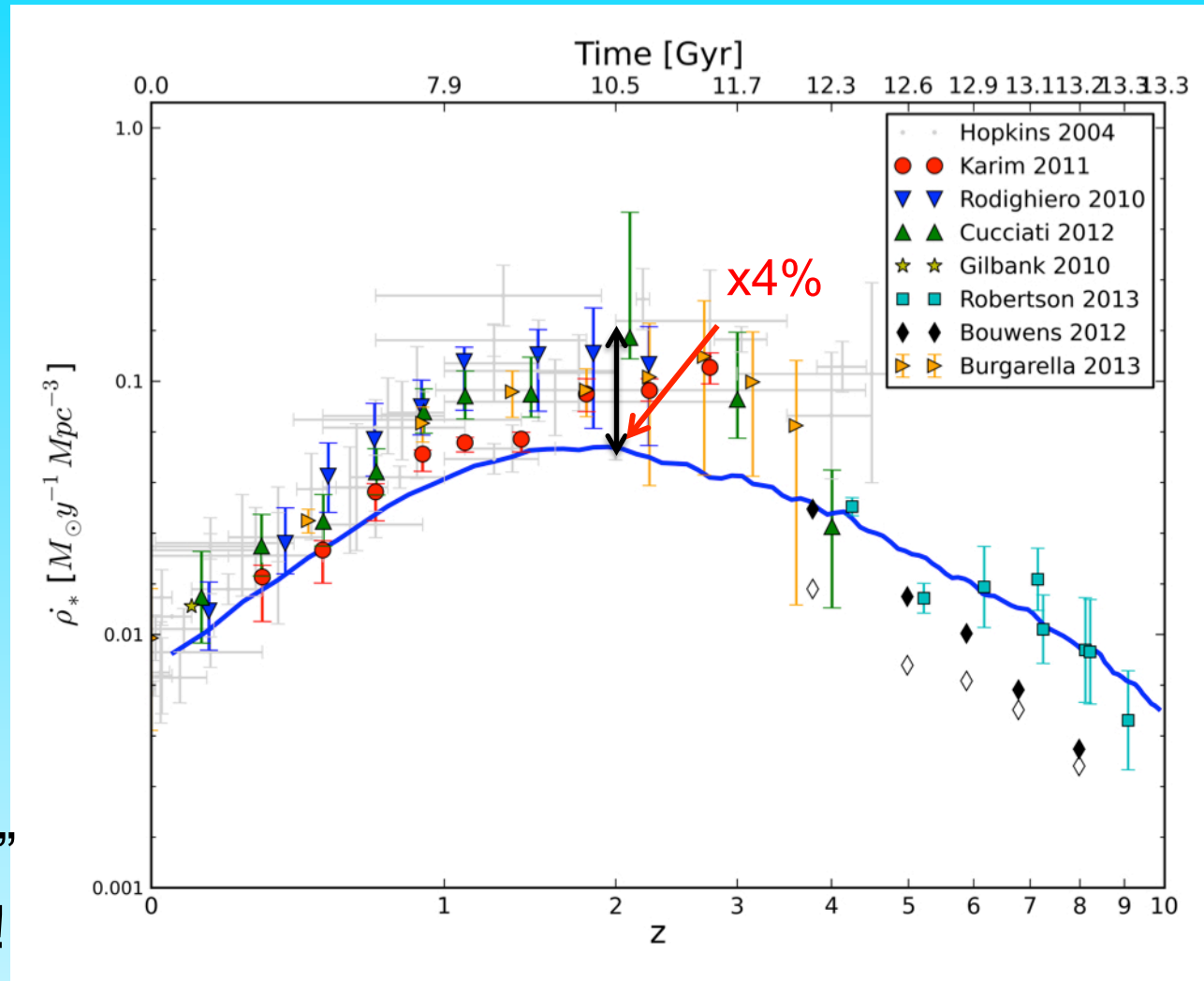
# Evolution of the SFR density

## EAGLE

SFR density as a  
fn of  $z$

The model lies  
below the data by  
> x2 at most  $z$

But it matches (to  
20%) the “measured”  
stellar mass at all  $z$ !

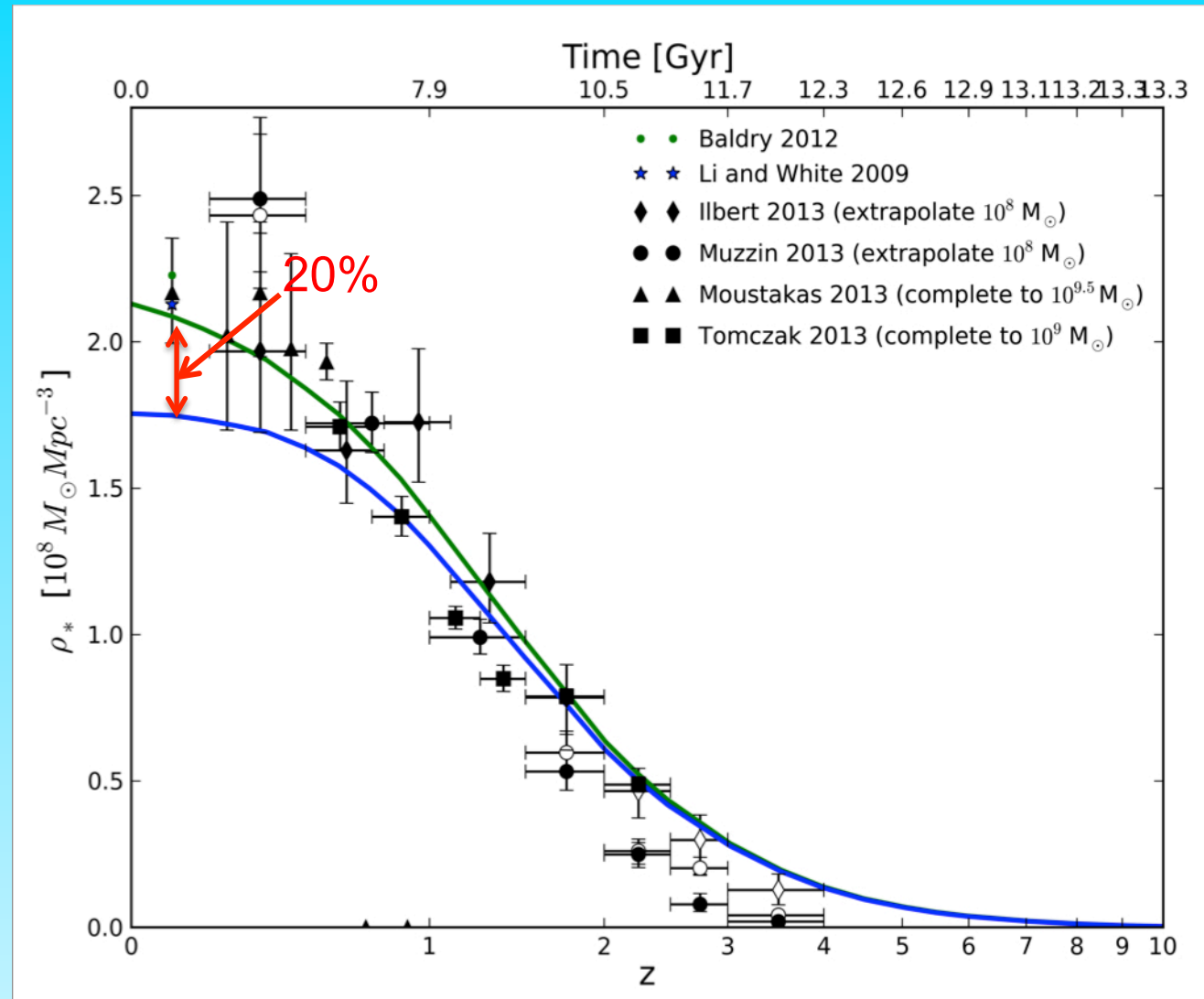


# Evolution of stellar mass density

EAGLE

Stellar mass  
density formed by  $z$

The model  
agrees with the  
data within 20%



There appears to be an inconsistency between  
“measured”  $\text{SFR}(z)$  and  $M_*(z)$  in current data!

# Build-up of stellar mass: challenges for multiobject spect.

- Inconsistency between  $\text{SFR}(z)$  and  $M_*(z)$  in data?
- Determine stellar mass fn reliably to high  $z$
- Survey star-forming galaxies to higher  $z$  and fainter limits
- Differentiate between quiescent and starburst SF modes



## Big question 2

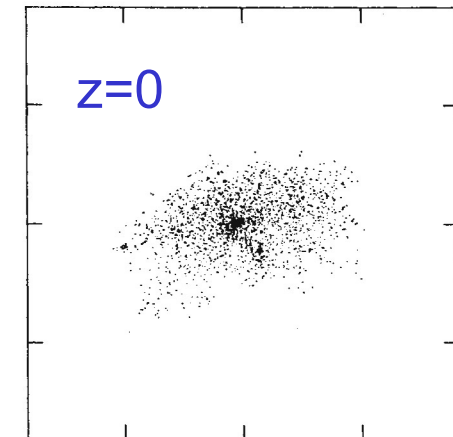
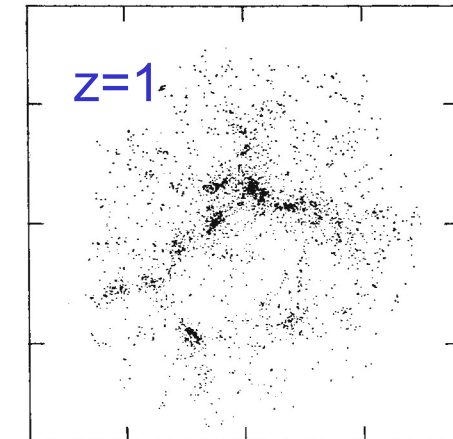
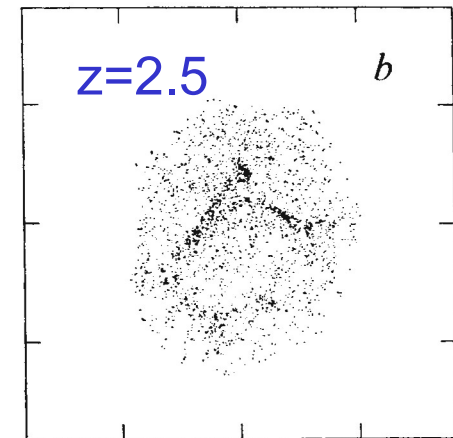
How and when were galaxies assembled and what is the origin of the Hubble Sequence?

Relative role of in situ star formation, minor and major galaxy mergers, disk instabilities and environmental effects?

# Cold dark matter, the structure of galactic haloes and the origin of the Hubble sequence

Carlos S. Frenk\*, Simon D. M. White†, George Efstathiou‡ & Marc Davis§

A popular theory for galaxy formation holds that the Universe is dominated by exotic particles such as axions, photinos or gravitinos (collectively known as cold dark matter, CDM)<sup>1–3</sup>. This hypothesis can reconcile the aesthetically pleasing idea of a flat universe with the standard theory of primordial nucleosynthesis and with upper limits on anisotropies in the cosmic microwave background<sup>4–6</sup>. The resulting model is consistent with the observed dynamics of galaxy clustering only if galaxy formation is biased towards high-density regions<sup>7,8</sup>. We have shown that such a biased model successfully matches the distribution of galaxies on megaparsec (Mpc) scales<sup>9</sup>. If it is to be viable, it must also account for the structure of individual galaxies and their haloes. Here we describe a simulation of a flat CDM universe which can resolve structures of comparable scale to the luminous parts of galaxies. We find that such a universe produces objects with the abundance and characteristic properties inferred for galaxy haloes. Our results imply that merging plays an important part in galaxy formation and suggest a possible explanation for the Hubble sequence.



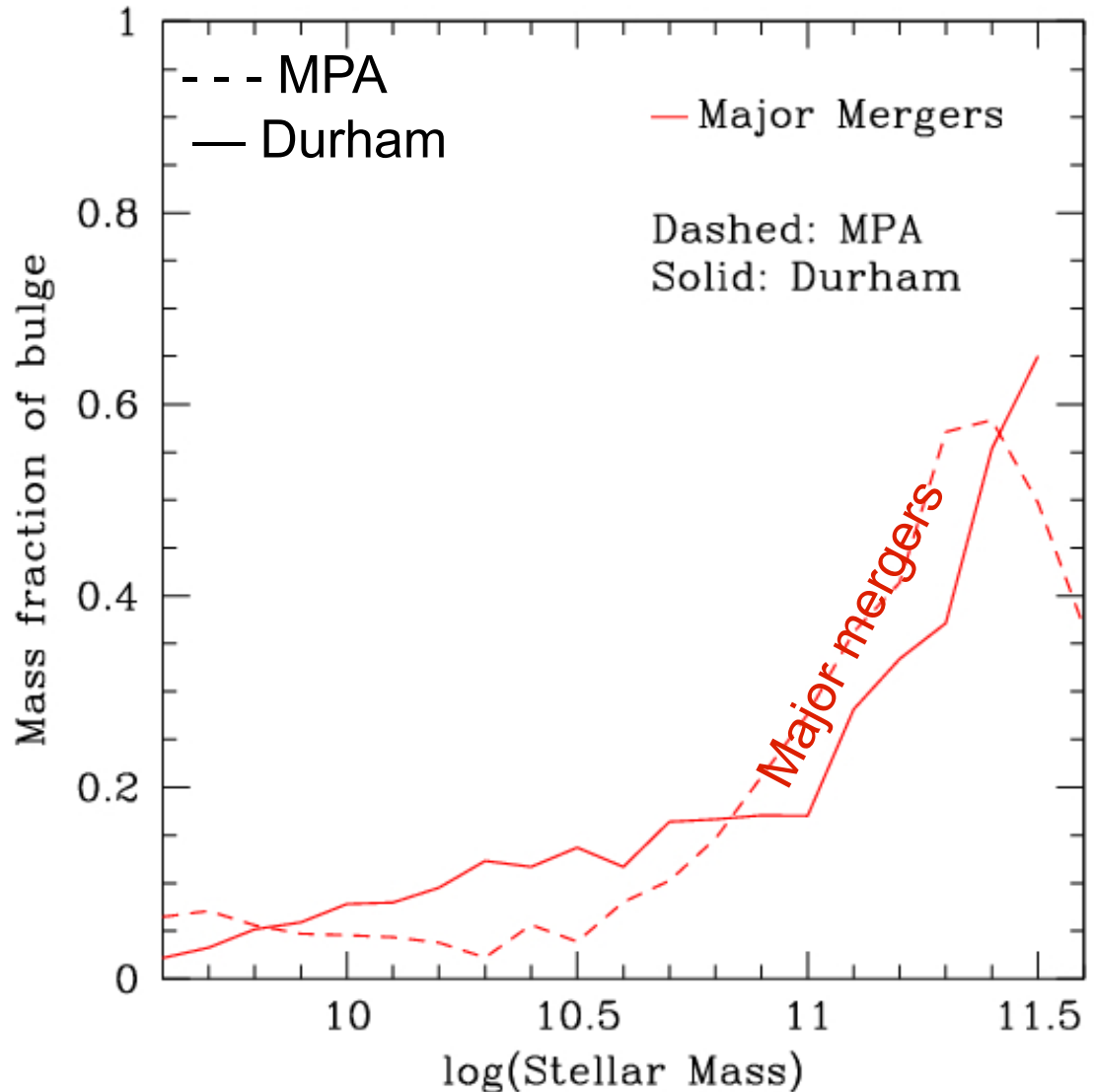
# The formation of spheroids: ellipticals & bulges

## 3 processes for making spheroids:

- Major mergers
- Minor mergers
- Disk instabilities

Average fraction of  
spheroid stars form  
by each process

Parry, Eke & Frenk '08



# The formation of spheroids: ellipticals & bulges

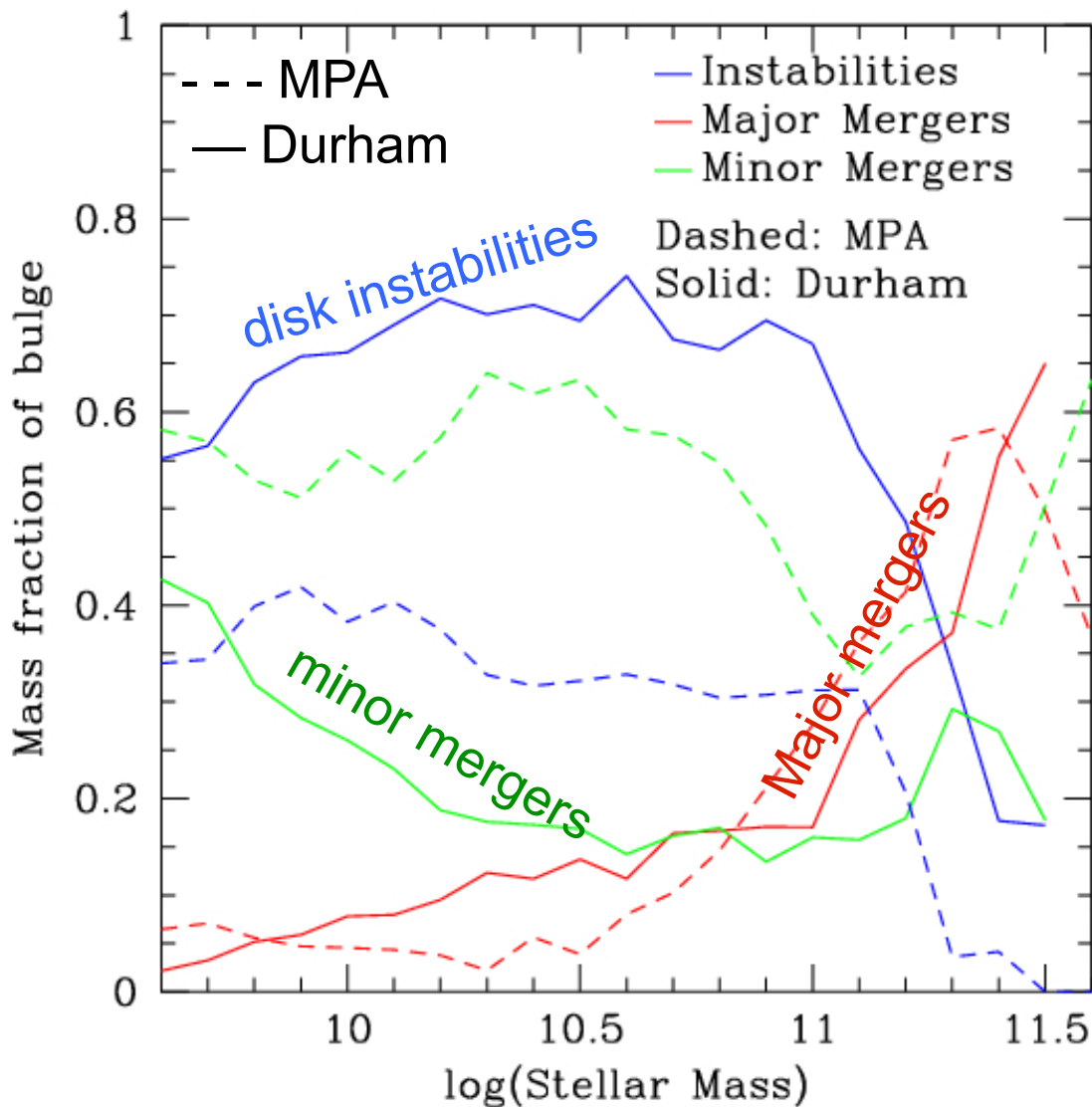
## 3 processes for making spheroids:

- Major mergers
- Minor mergers
- Disk instabilities

Average fraction of  
spheroid stars form  
by each process

Major mergers only  
dominant for bright  
spheroids

Parry, Eke & Frenk '08

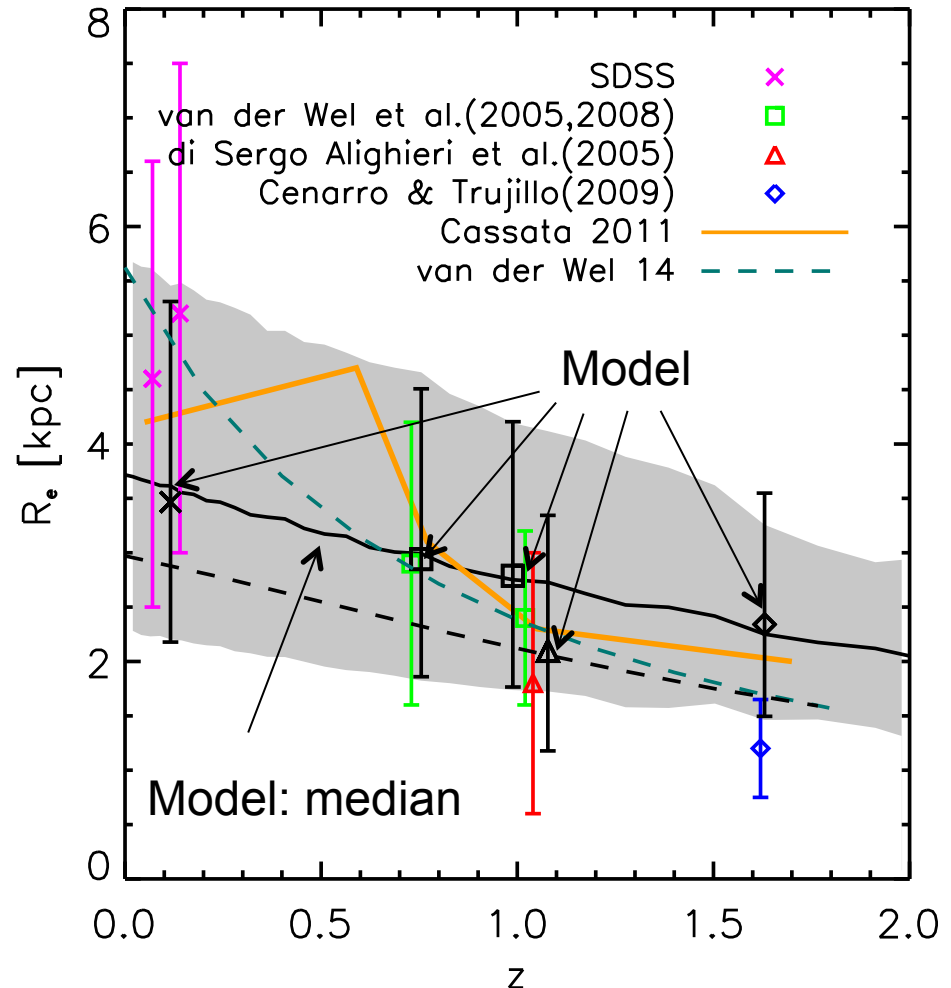


# Size evolution of early-type galaxies

Early-type galaxies appear to grow in size by about x5 from  $z=1.5$  to  $z=0$ !

Model predicts very large scatter and strong selection effects

At hi- $z$  gals small because halos are small; size growth in ETGs driven by minor mergers



# The Eagle Simulations

EVOLUTION AND ASSEMBLY OF GALAXIES AND THEIR ENVIRONMENTS

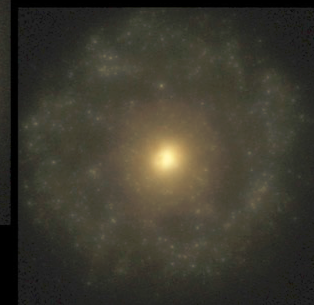
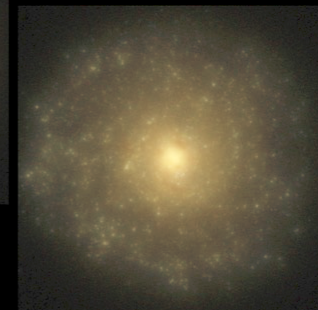
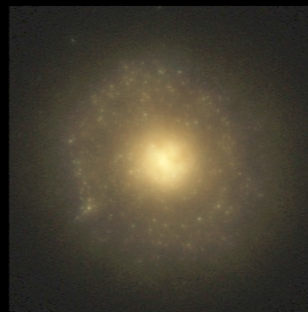
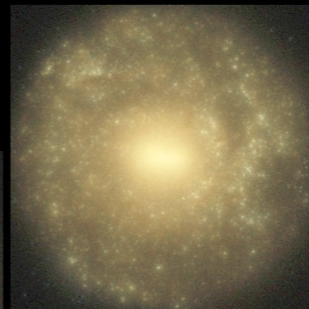
The Hubble Sequence realised in cosmological simulations

SB

E0

E7

S0



Irr

S

Trayford et al '15

# Galaxy assembly & morphology: challenges for multiobject spect.

- Measure galaxy sizes & structure to high- $z$  → large imaging/spectroscopic surveys with well-defined selection criteria
- Dynamical studies of galaxies at high- $z$
- Estimates of merger rates



## Big question 3

How was the Universe reionized and what is the connection between the first and today's galaxies?

# Supernova feedback



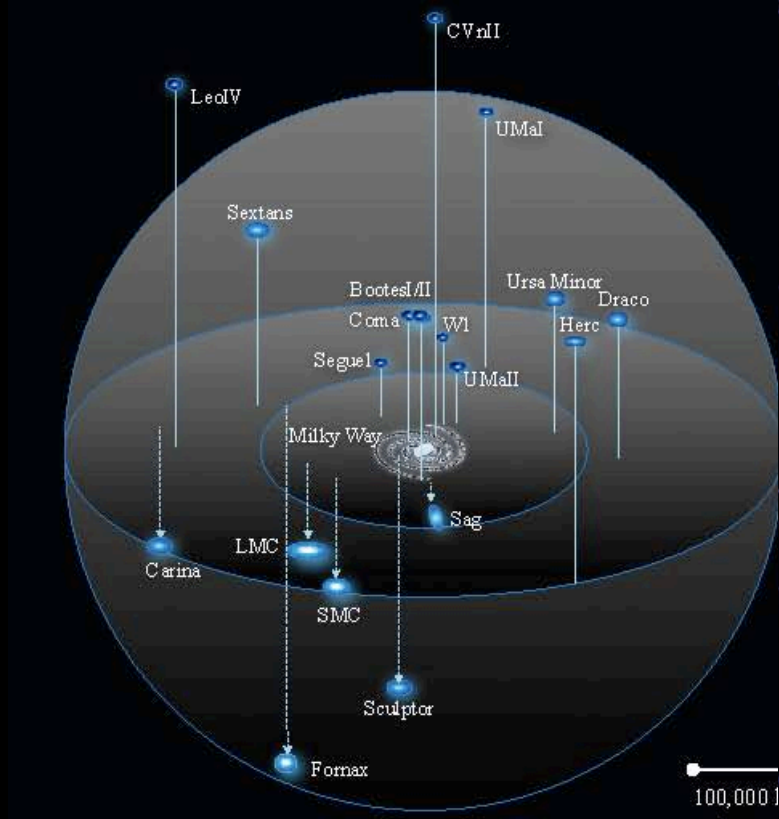
# Supernova feedback

Supernova feedback plays a major role in:

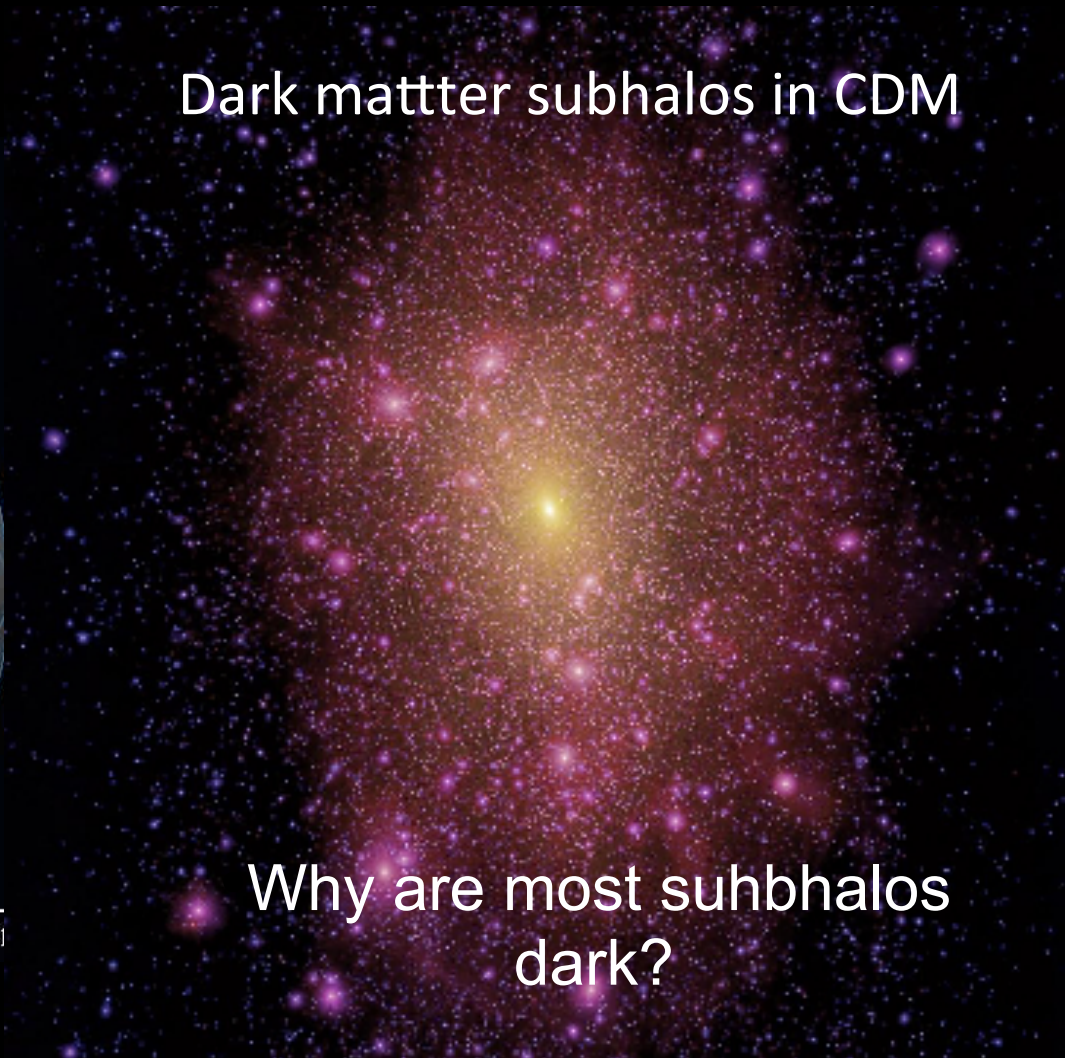
- The faint end of the galaxy luminosity function (MW satellites)
- The metallicity-luminosity relation
- Reionization of hydrogen at early times
- Galaxy sizes
- ... and more

# The “missing satellites” problem in CDM

## The satellites of the MW

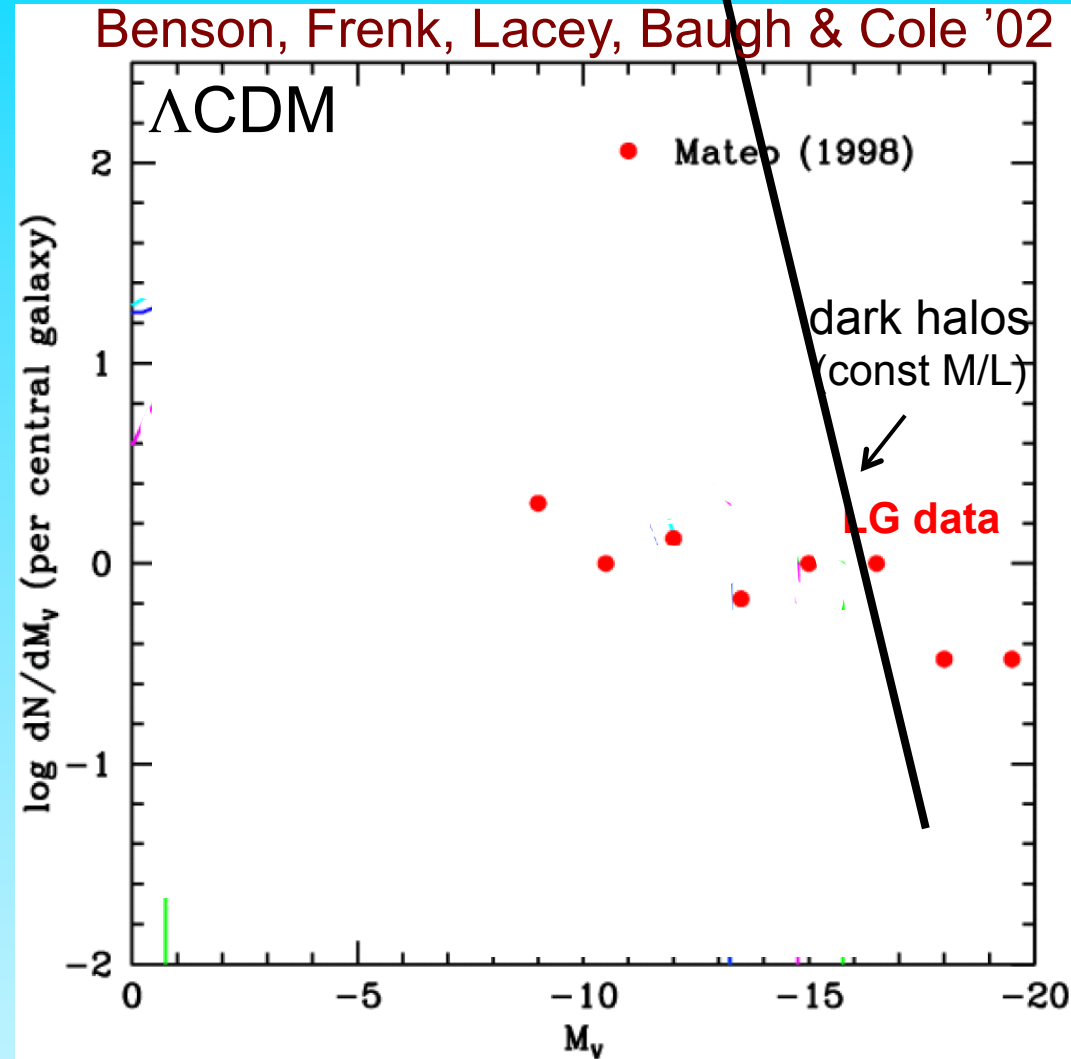


## Dark matter subhalos in CDM



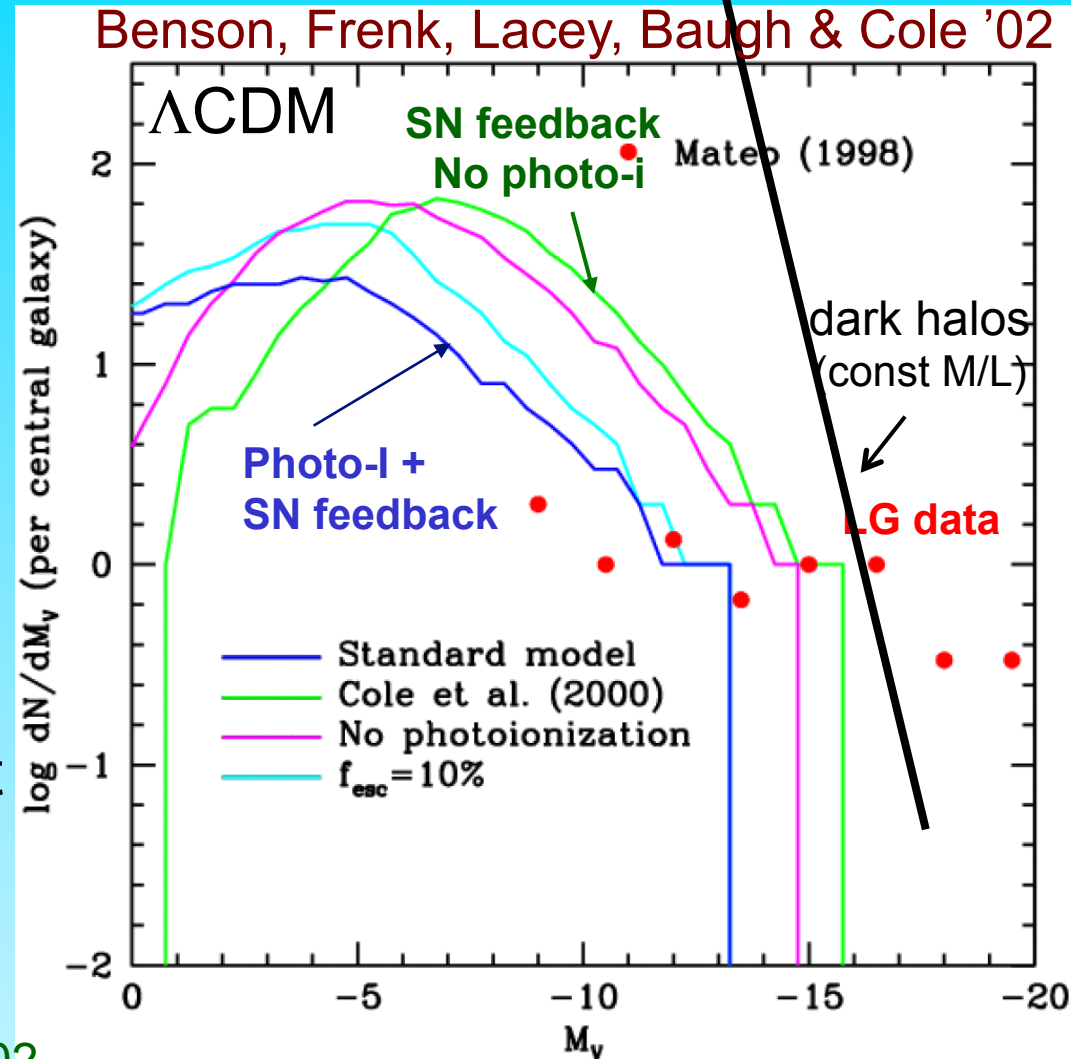
Why are most subhalos dark?

# Luminosity Function of Local Group Satellites



# Luminosity Function of Local Group Satellites

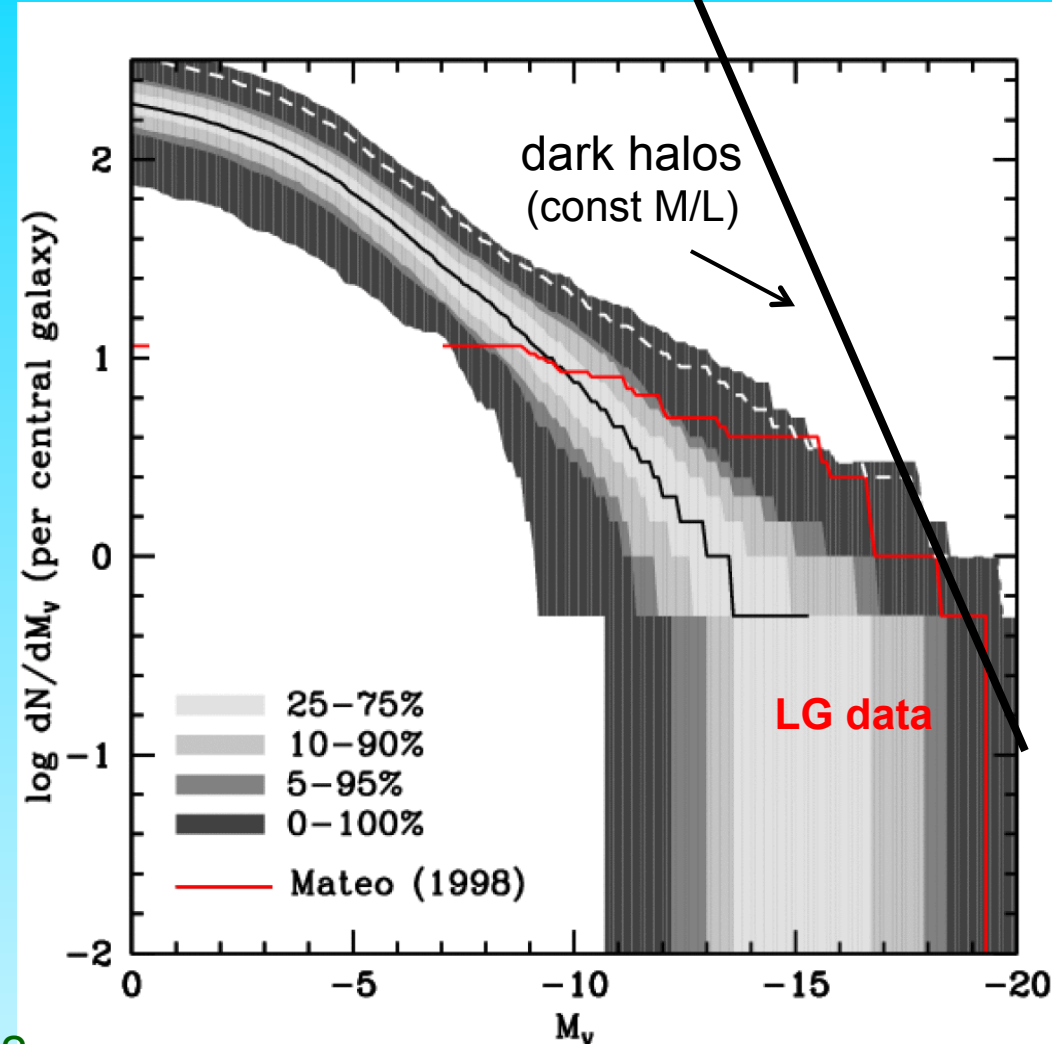
- **Photoionization** inhibits the formation of satellites
- Abundance of satellites reduced by large factor!
- Median model gives correct abundance of sats brighter than  $M_V = -9$ ,  $V_{\text{cir}} > 12$  km/s
- Model predicts many, as yet undiscovered, faint satellites



Benson, Frenk, Lacey, Baugh & Cole '02  
(see also Kauffman et al '93, Bullock et al '01)

# Luminosity Function of Local Group Satellites

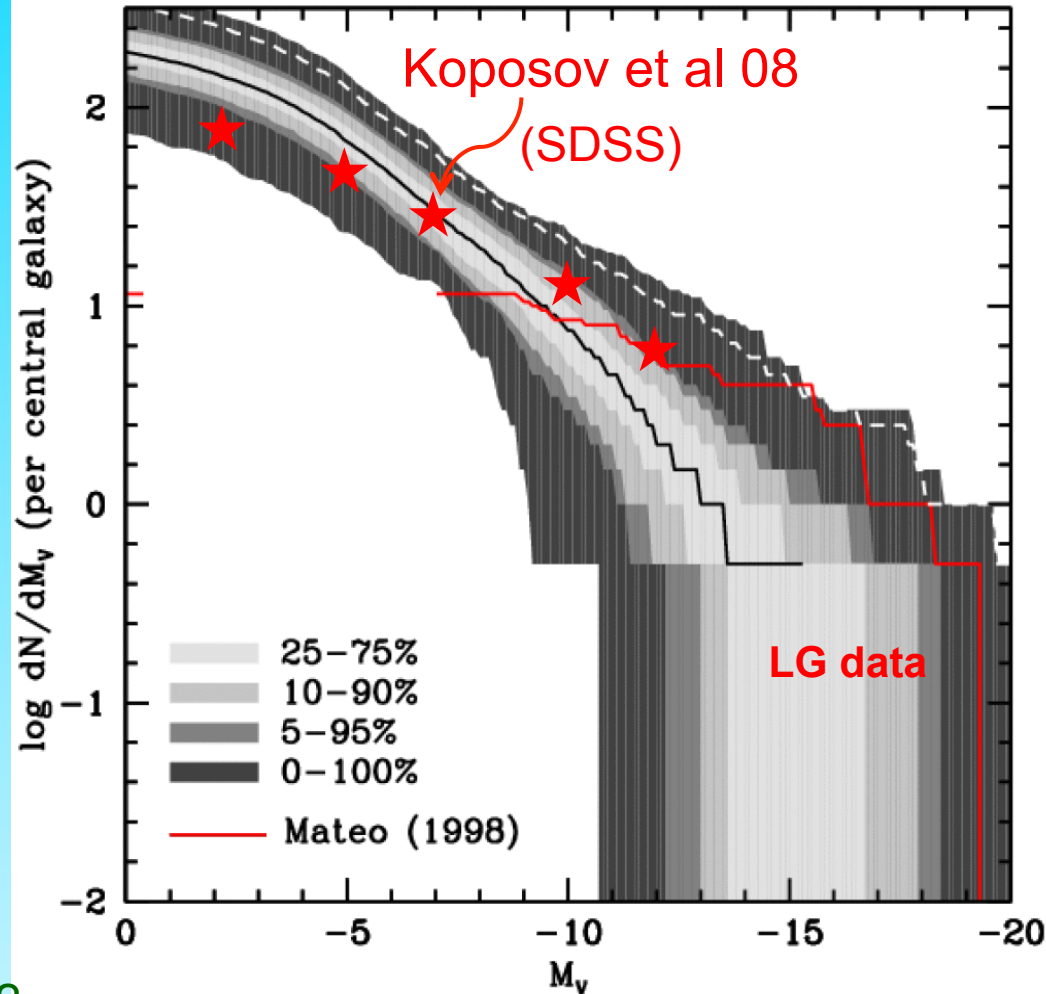
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- LMC/SMC should be rare (~2% of cases)



Benson, Frenk, Lacey, Baugh & Cole '02  
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# Luminosity Function of Local Group Satellites

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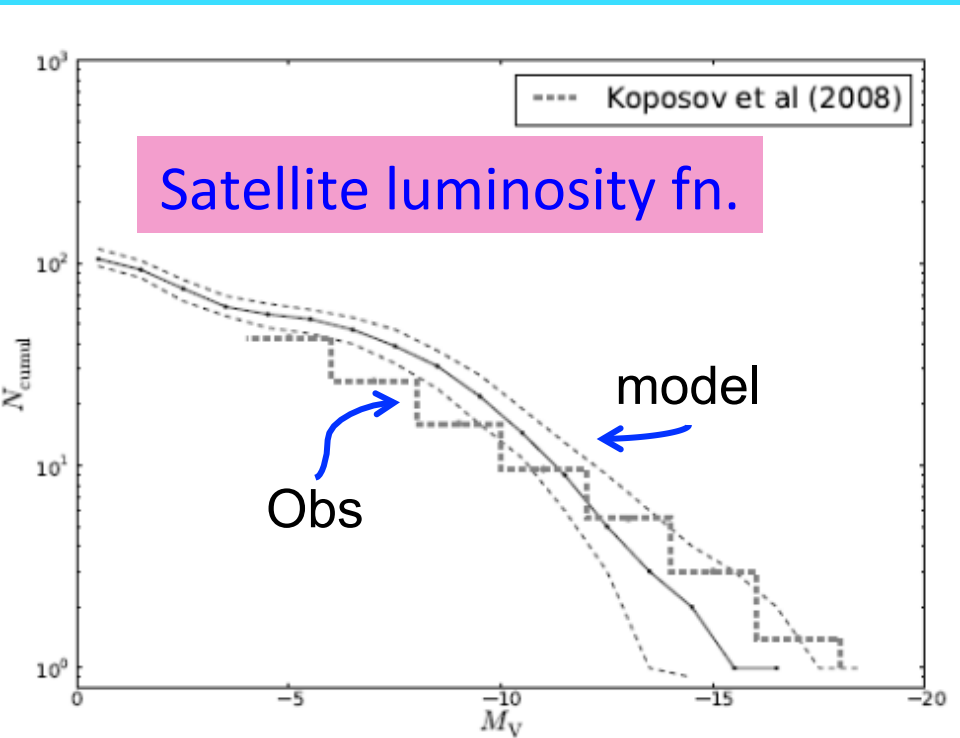


Benson, Frenk, Lacey, Baugh & Cole '02  
(see also Kauffman et al '93, Bullock et al '01)

# Supernova feedback

In GALFORM

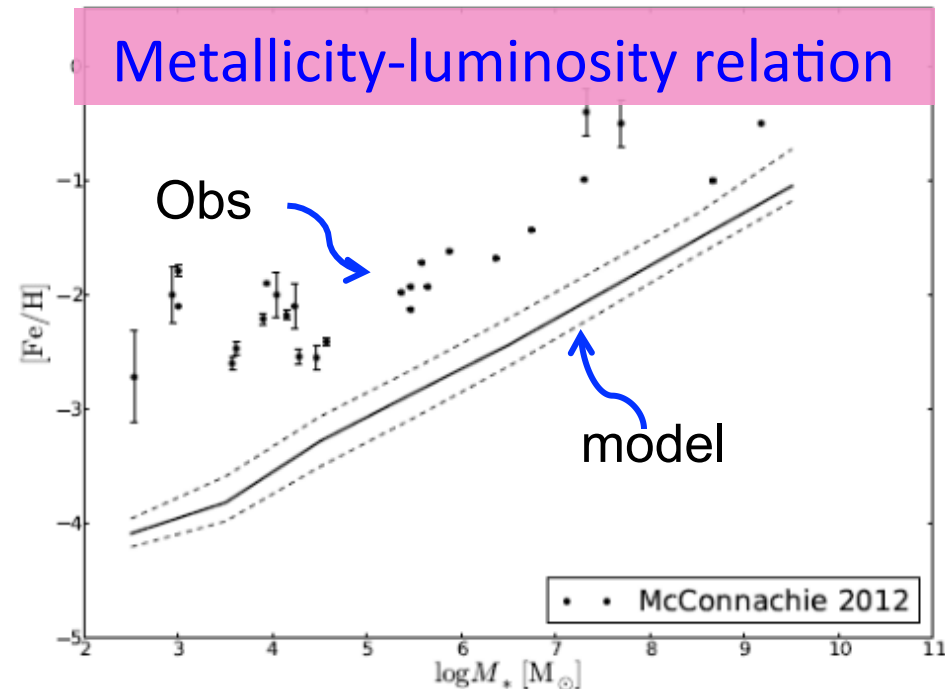
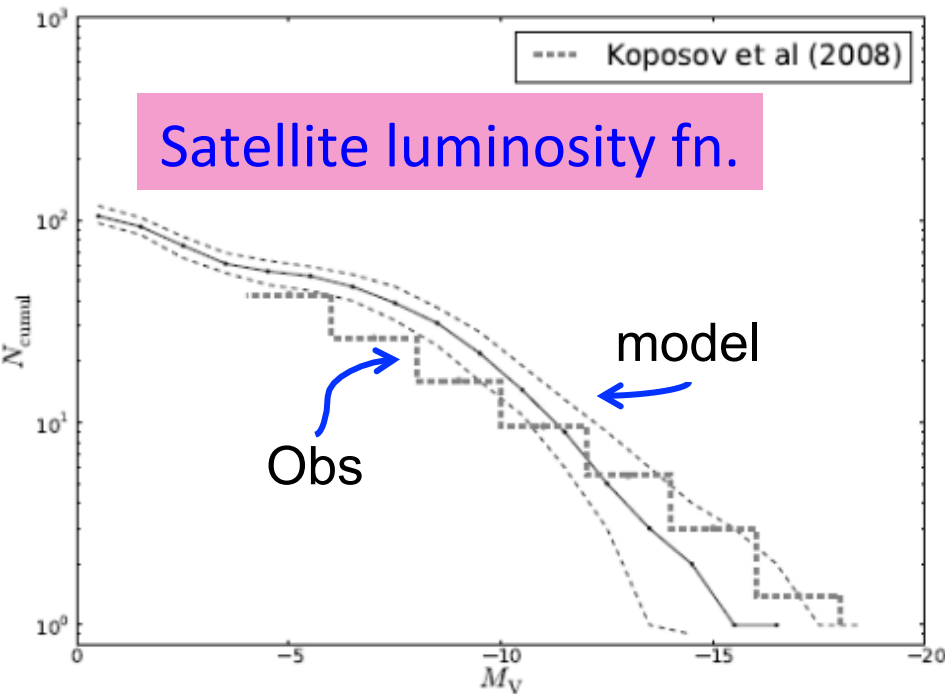
Hou, Frenk, Lacey et al. '15



# Supernova feedback

In GALFORM

Hou, Frenk, Lacey et al. '15



Standard feedback galform model →

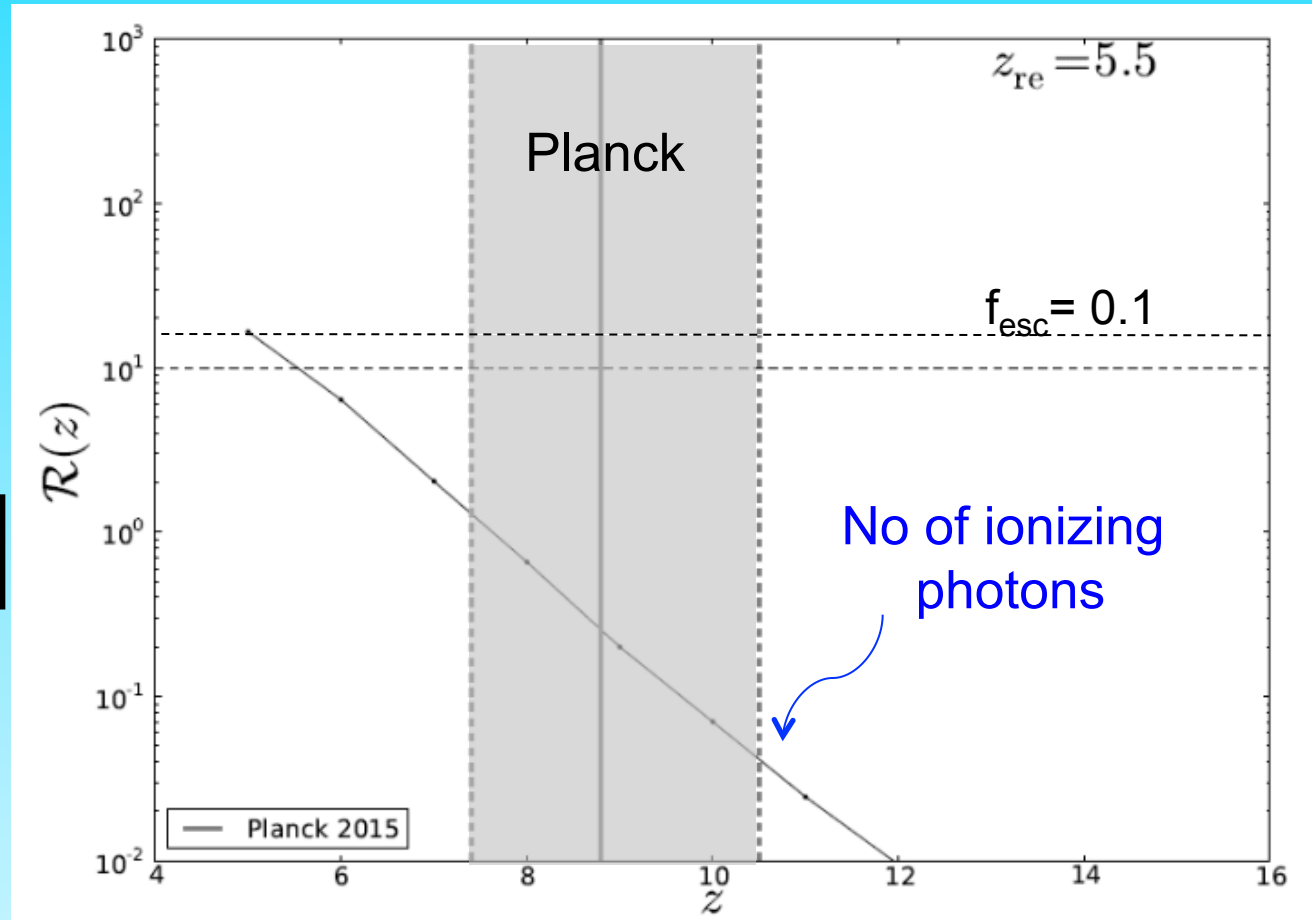
correct satellite LF  
wrong metallicity-lum reln!

# Supernova feedback

$$\mathcal{R}(z) = \frac{\text{no. of ionization photons emitted by redshift } z}{\text{No. of H atoms}}$$

$$\mathcal{R}(z) = \frac{1 + N_{\text{rec}}}{f_{\text{esc}}} \approx \frac{2}{f_{\text{esc}}}$$

In GALFORM



# Supernova feedback

SN feedback  
must be:

- To match the Milky Way satellite luminosity fn **STRONG**
- For galaxies to reionize the Universe at  $z \sim 10$  **WEAK**
- To get the  $[\text{Fe}/\text{H}]$  vs  $L$  relation for MW satellites **WEAK**

# Supernova feedback

In GALFORM

SFR & mass ejection

SFR

SN  
feedback

$$\psi = \frac{M_{\text{cold}}}{\tau_{\star}(r_{\text{disk}}, V_{\text{disk}})}$$
$$\dot{M}_{\text{eject}} = \beta(V_{\text{disk}}) \psi$$

SN feedback efficiency

$$\beta = (V_{\text{disk}} \times V_{\text{hot}})^{-\alpha_{\text{hot}}}$$

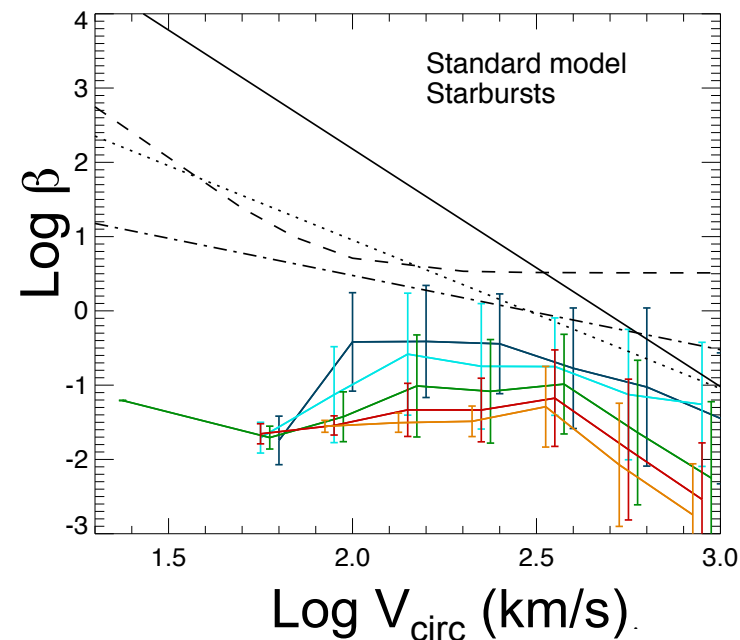
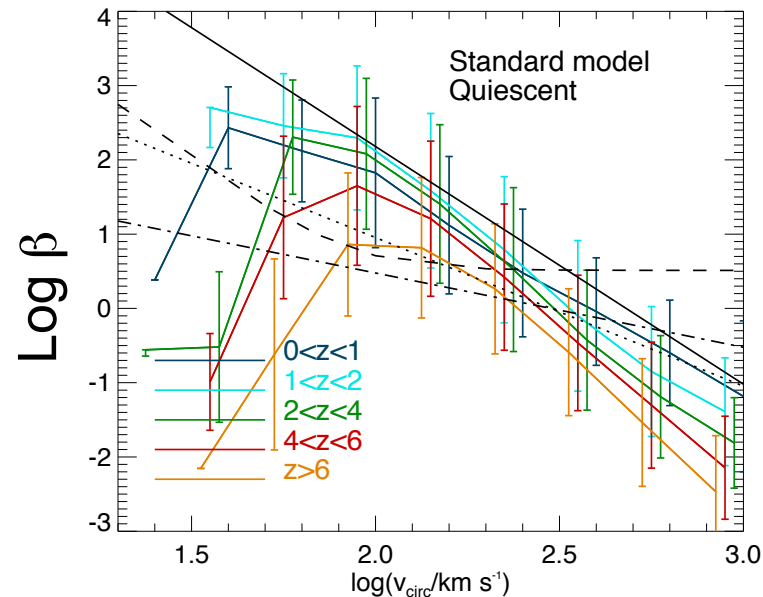
$V_{\text{disk}}$  = disk circ vel.

$V_{\text{hot}}, \alpha_{\text{hot}}$  free parameters

## Dynamical model of SN feedback: evolution of pressurised bubbles in multiphase ISM

→ Efficiency  $\beta$  depends on redshift,  
is different for quiescent and burst  
SF and saturates for  $V < 80 \text{ km/s}$

Lagos et al '13

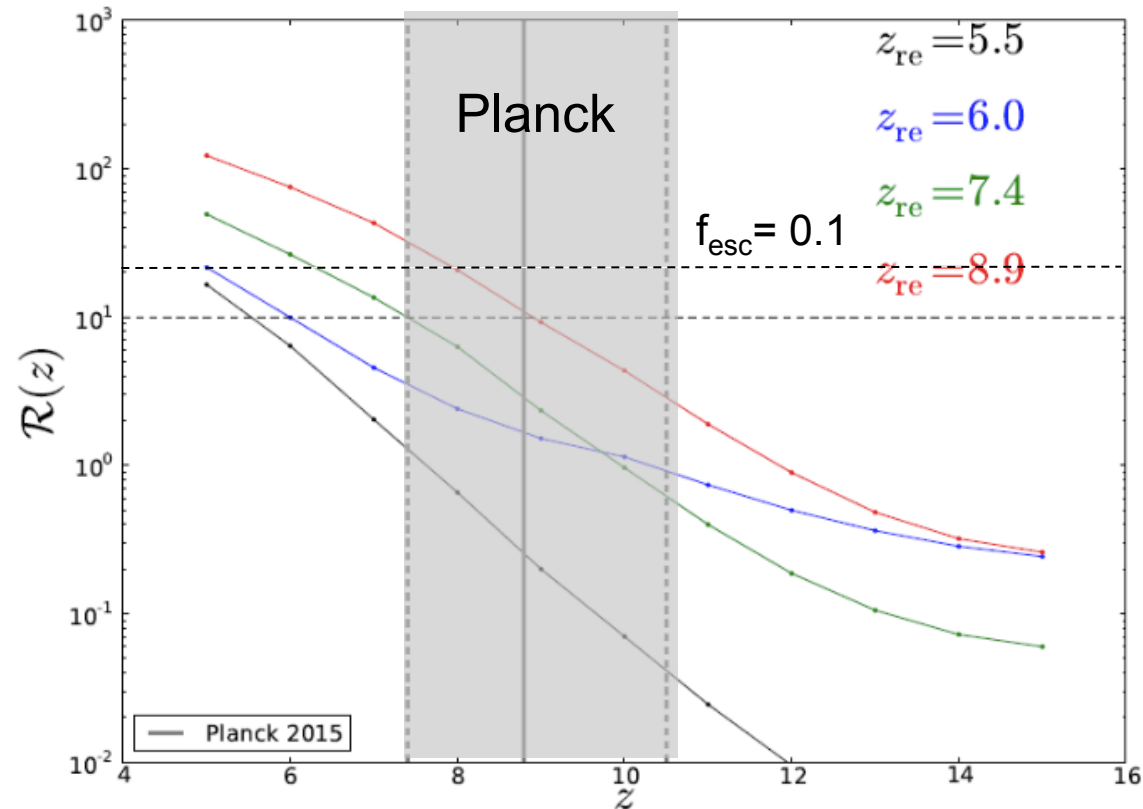


# Reionization in GALFORM

$$\mathcal{R}(z) = \frac{\text{no. of ionization photons emitted by redshift } z}{\text{No. of H atoms}}$$

Modify SN feedback in  
GALFORM to mimic  
Lagos et al '13 model

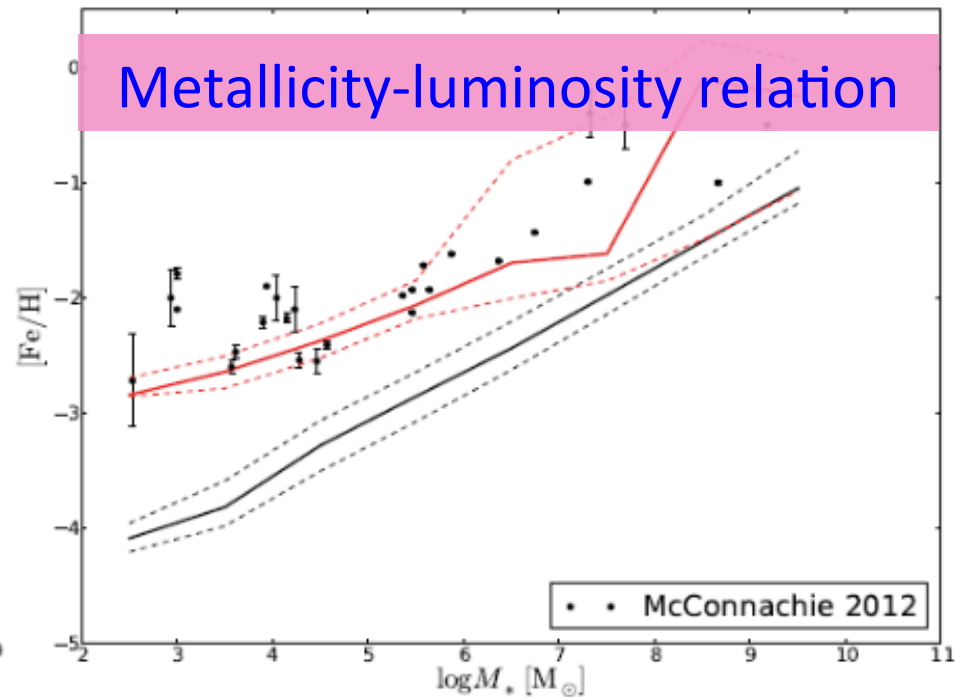
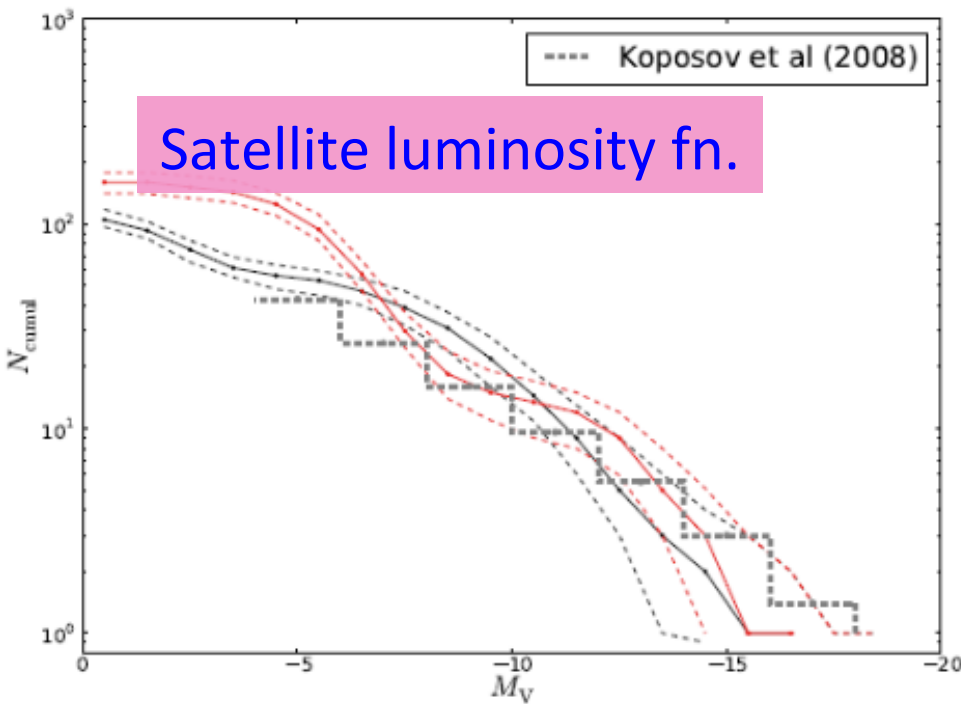
Can reionize  
Universe at  $z=9$ !



— Lacey14 model      — saturated feedback model  
— Evolving feedback model      — Lacey14\_test24 model

# SN feedback in GALFORM

Need SN feedback efficiency to be low at high- $z$  and high at low- $z$



Complex  $z$ -dependent feedback  $\rightarrow$   
galform model

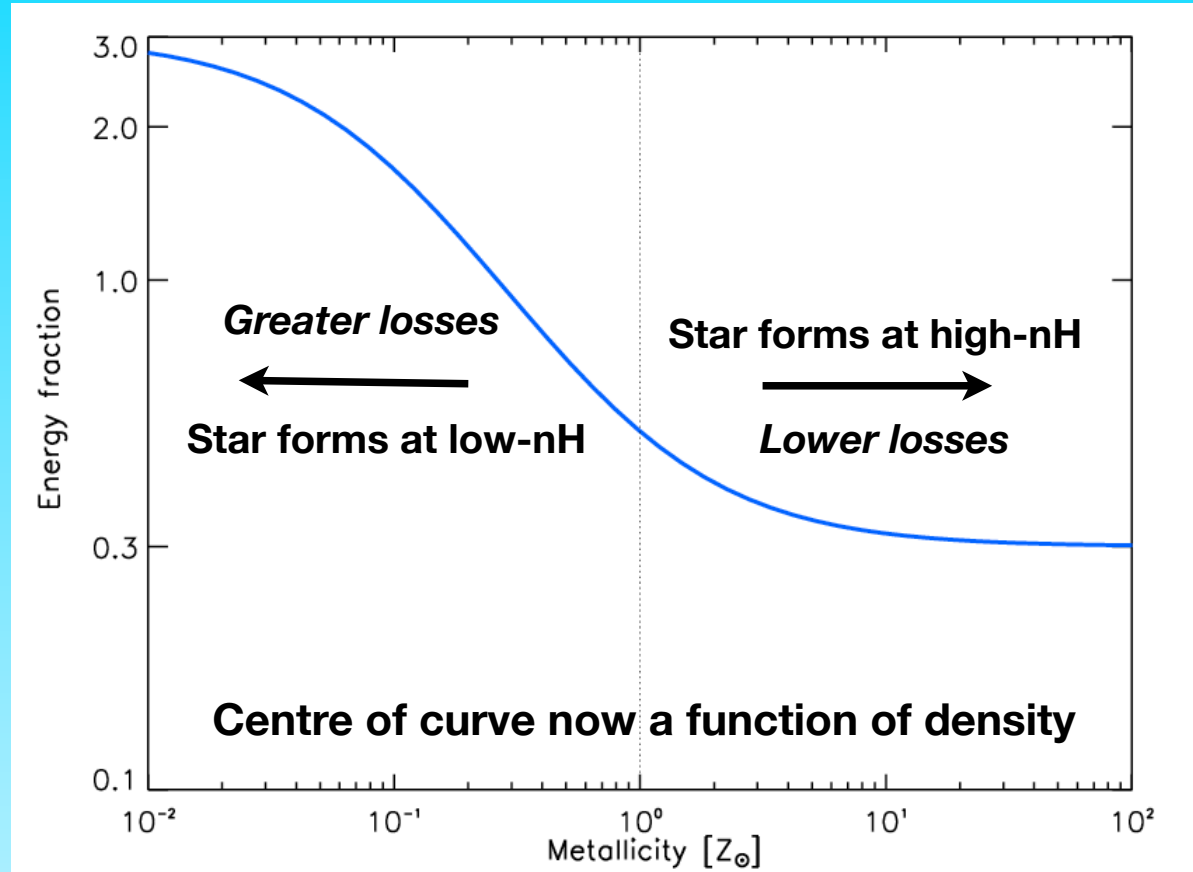
correct satellite LF  
correct metallicity-lum reln!  
correct reionization  $z$

# Supernova feedback

## In EAGLE

Feedback depends on metallicity and scales with gas density

Needed to account for galaxy stellar mass fn and galaxy sizes at  $z=0$



VIRG

EAGLE full  
hydro  
simulations

Local Group

Sawala et al '14

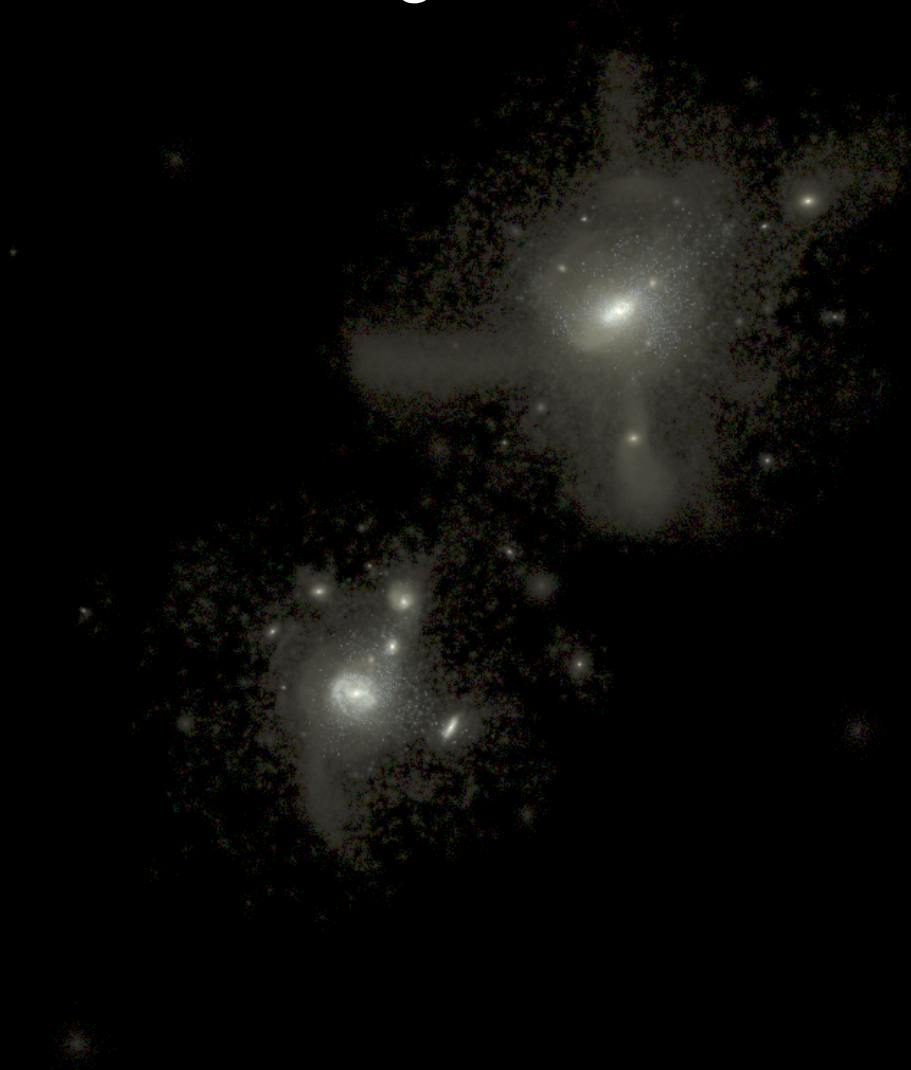


VIRG

Far fewer satellite galaxies than CDM halos

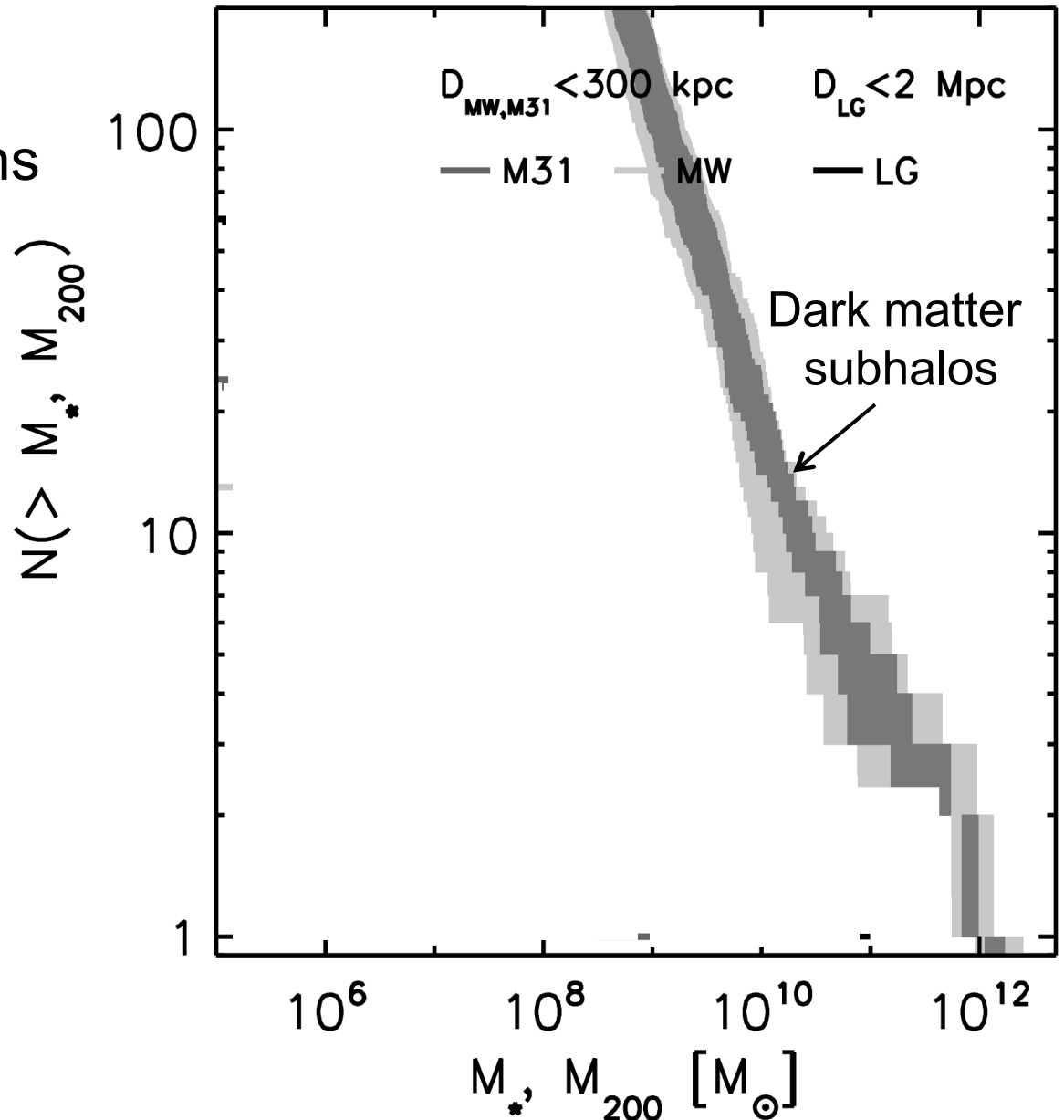
EAGLE full  
hydro  
simulations

Local Group



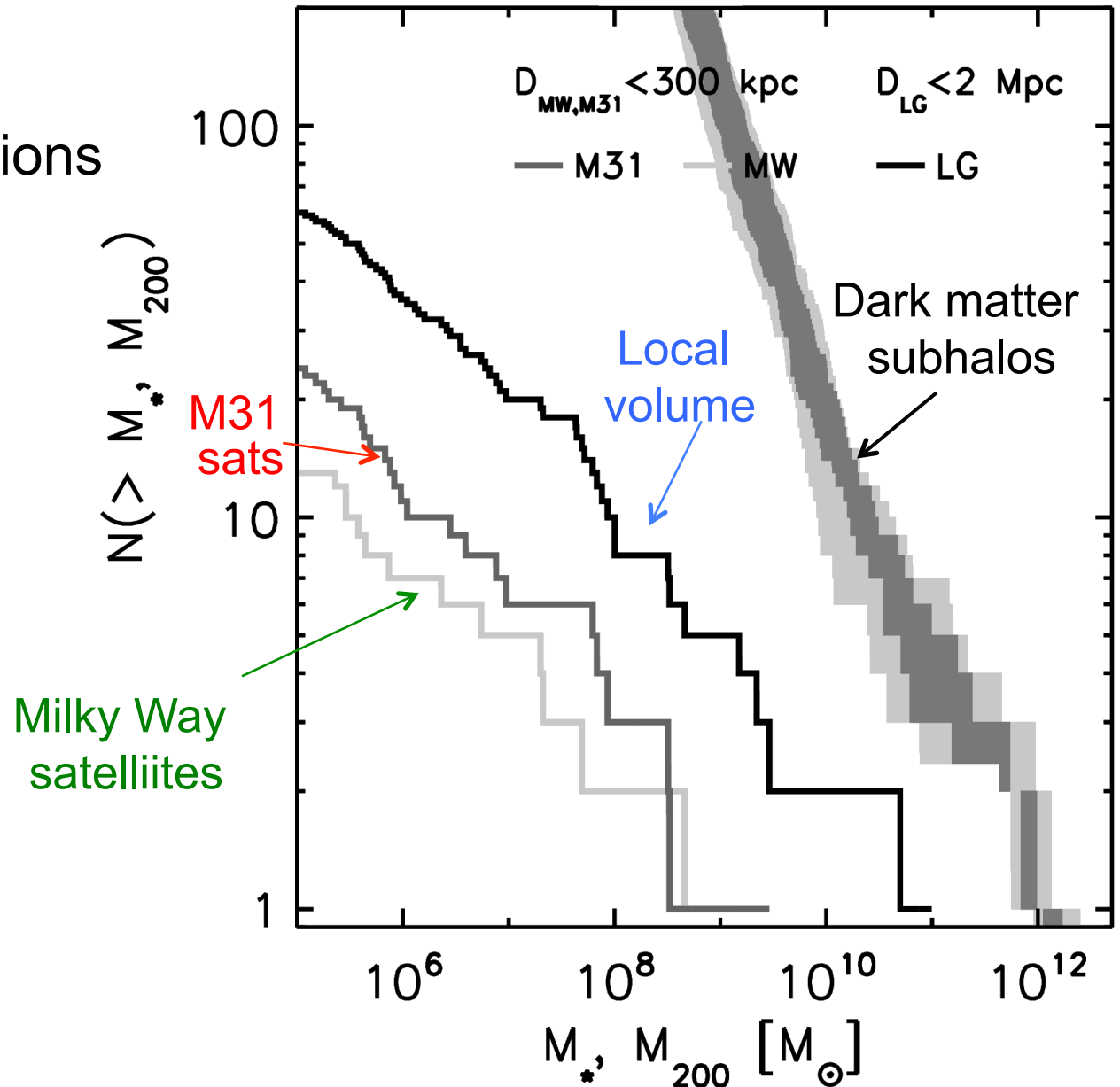
Sawala et al '14

## Subhalo mass functions



# EAGLE Local Group simulation

## Stellar mass functions

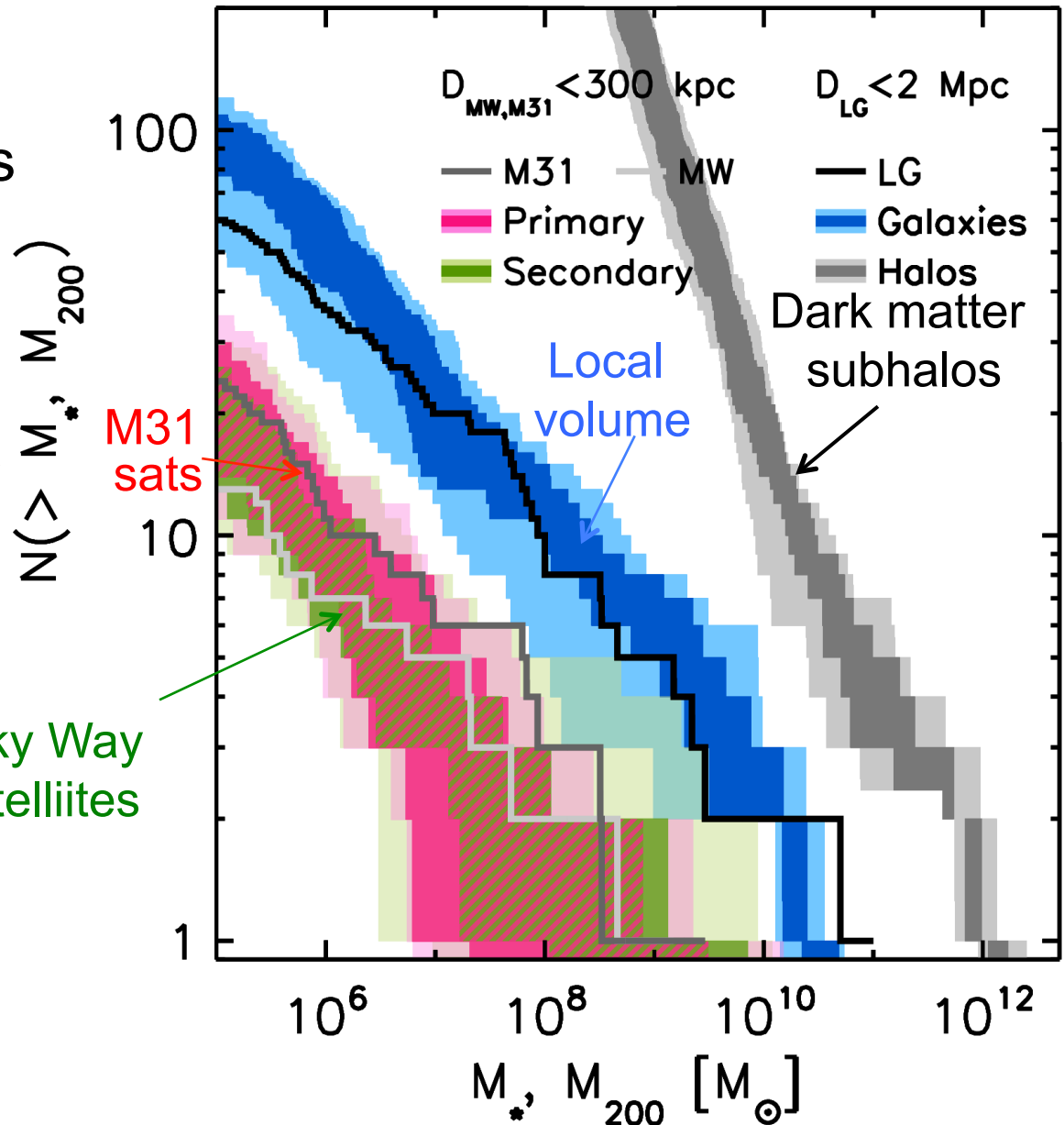


Sawala et al '14

## Stellar mass functions

Excellent agreement  
with stellar mass fns of  
MW and M31 satellites  
and field dwarfs !

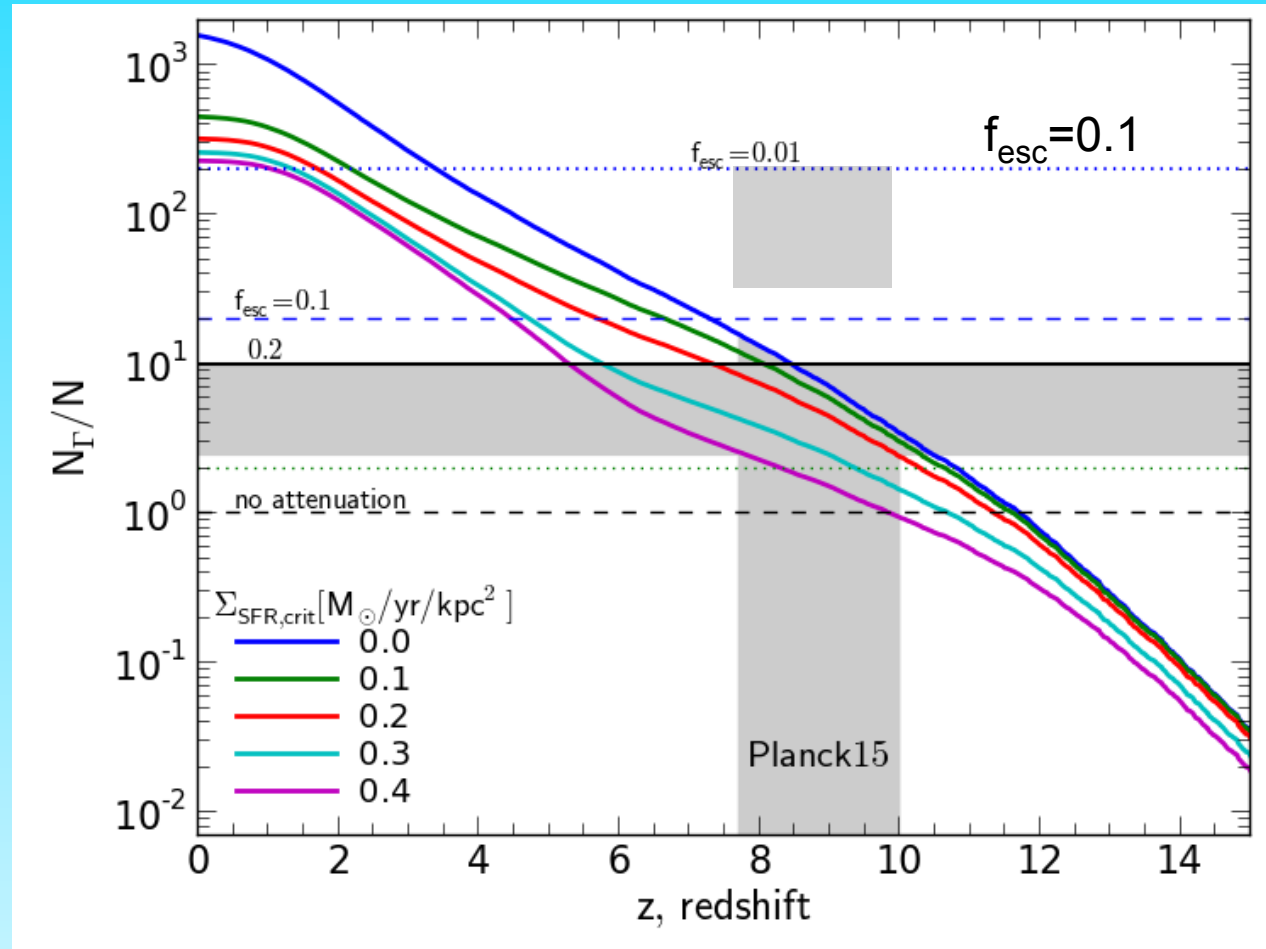
Milky Way  
satellites



# Reionization in Eagle

$$\mathcal{R}(z) = \frac{\text{no. of ionization photons emitted by redshift } z}{\text{No. of H atoms}}$$

Need:  $f_{\text{esc}} > 0.2$



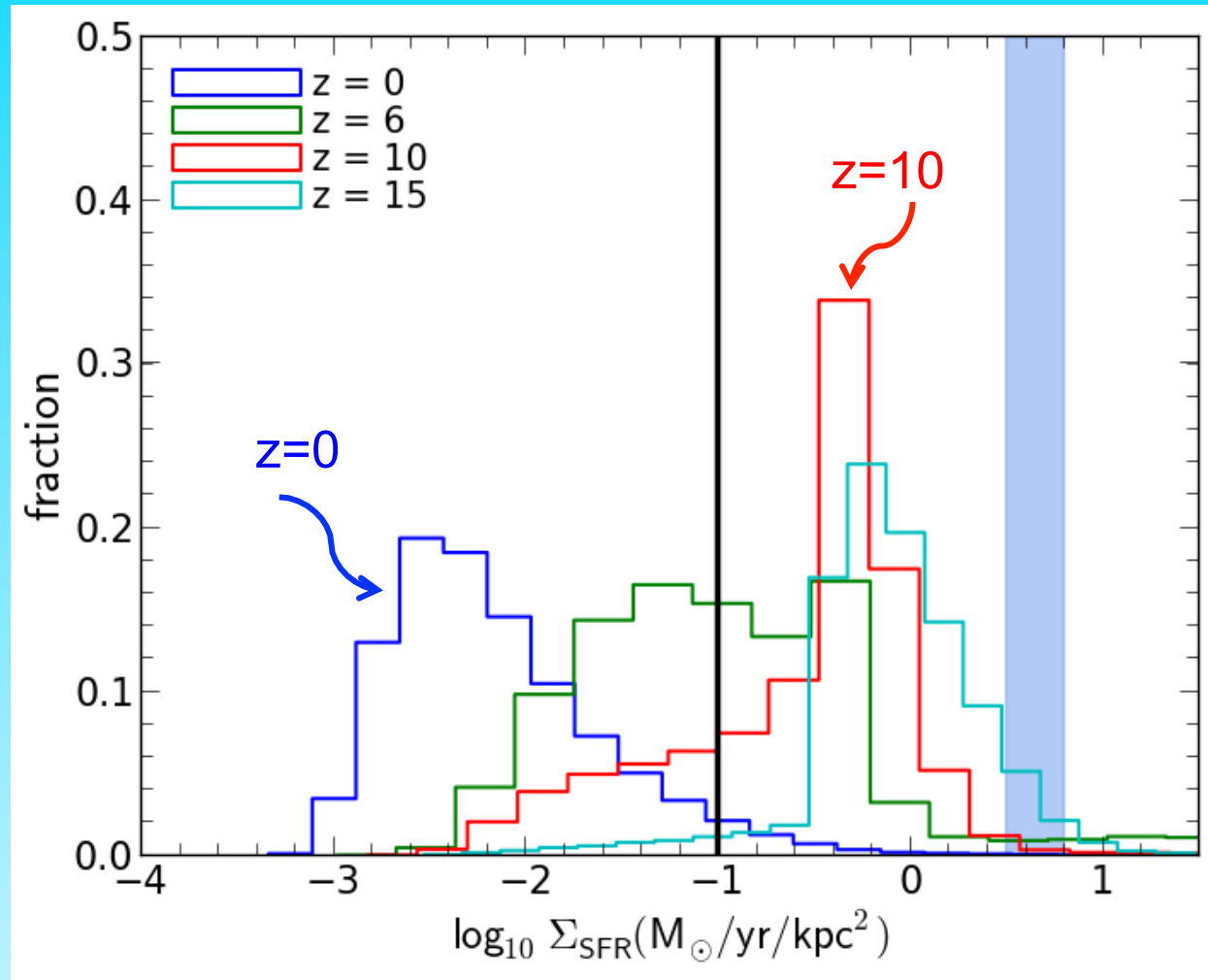
Sharma et al '15

# Reionization in Eagle

At high- $z$ , galaxies are bursty and have large SFR density



high escape fraction?



Sharma et al '15

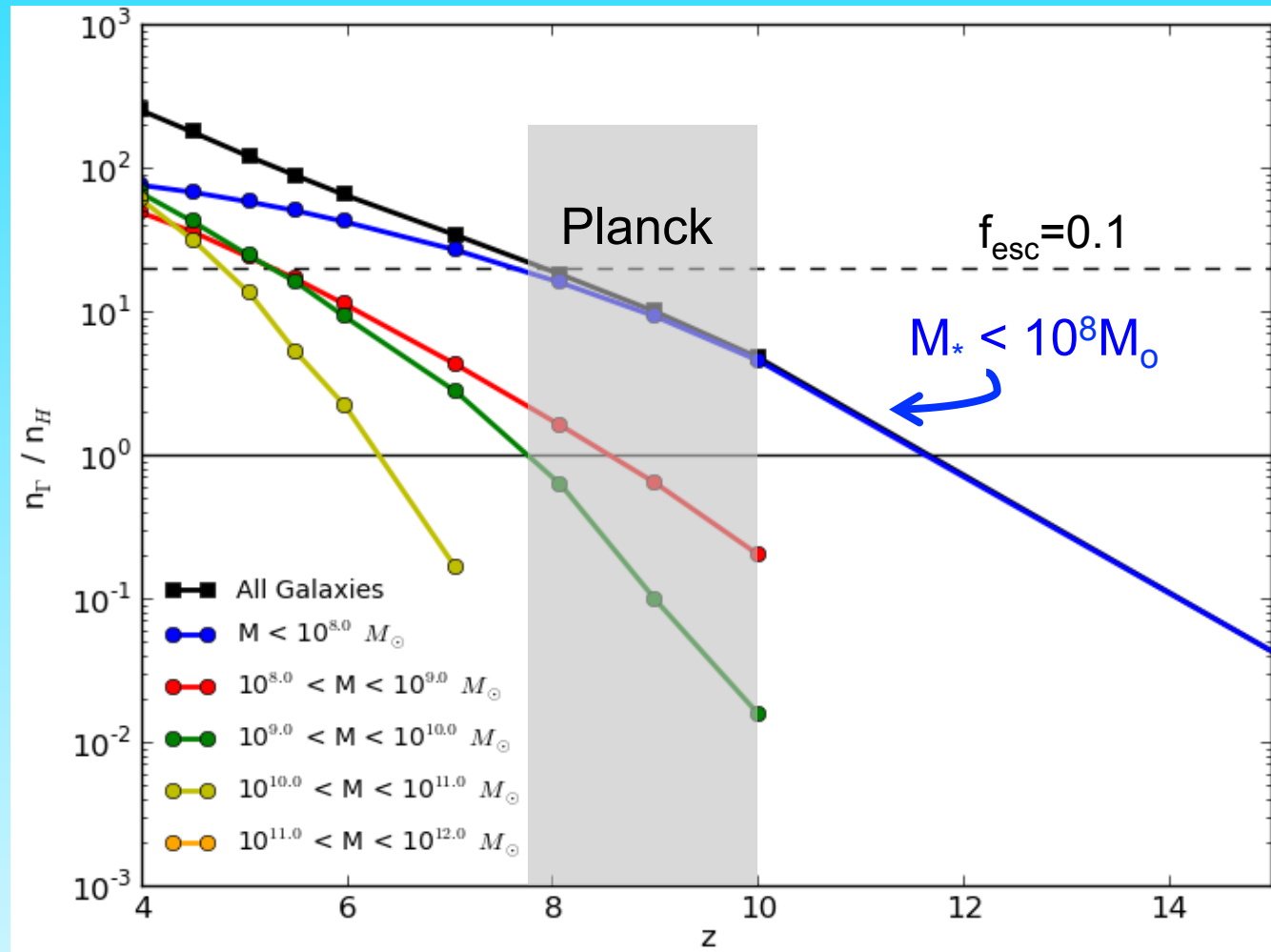
# Reionization in Eagle

$$\mathcal{R}(z) = \frac{\text{no. of ionization photons emitted by redshift } z}{\text{No. of H atoms}}$$

Reionization at  
 $z \sim 8$  by galaxies of  
 $M_* < 10^8 M_\odot$  or  
 $V_c \sim 100 \text{ km/s}$

These would have  
 $M_{AB}(1500\text{\AA}) \sim -14$   
 or  $m_{AB} \sim 32$

Furlong et al. '15



# SN Feedback in EAGLE

Metallicity-stellar  
mass relation

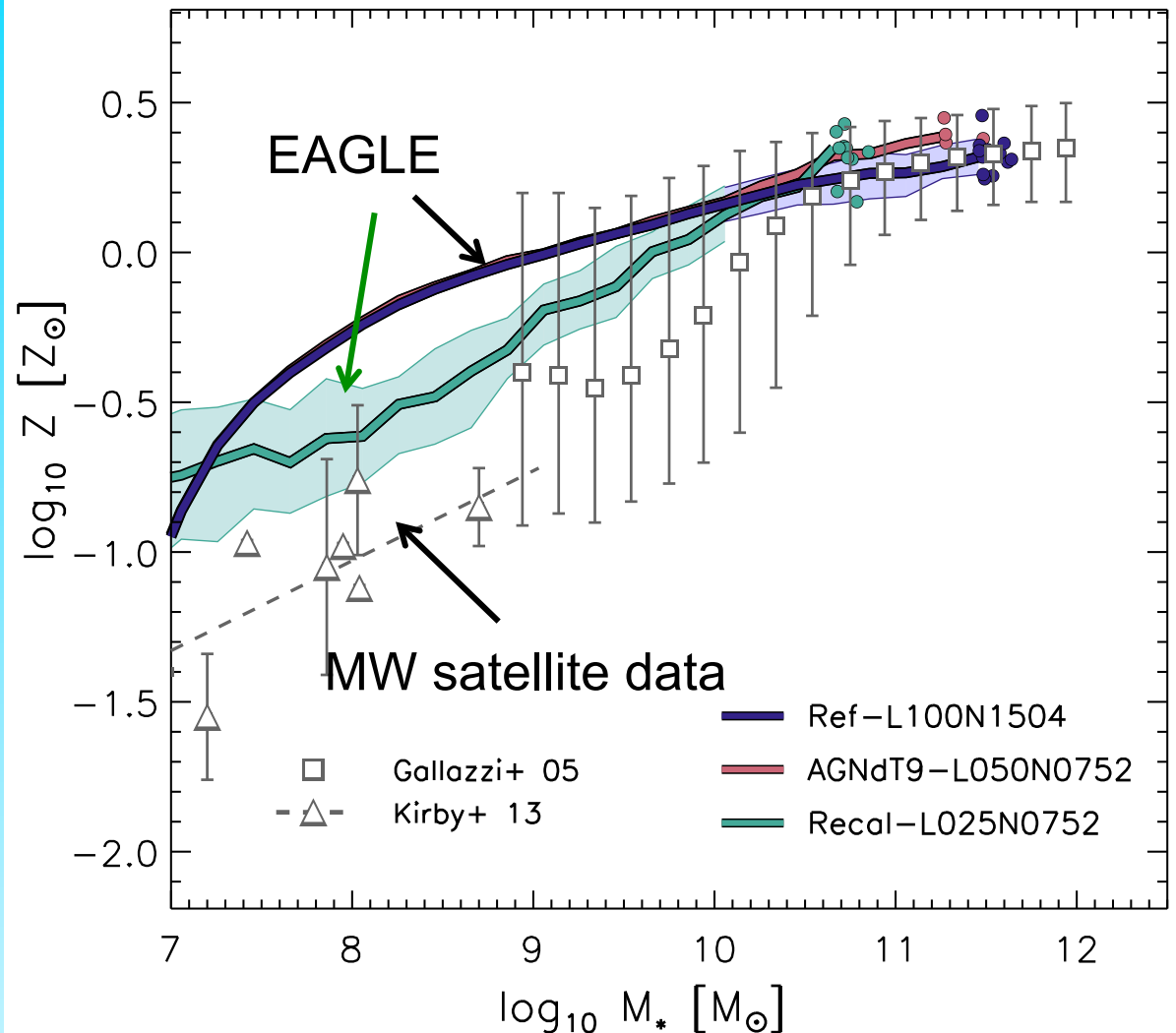
Not quite right!

Eagle: metal and  
density-dependent  
feedback →

correct satellite LF

correct reionization

wrong metallicity-lum reln!



# SN feedback: challenges for multiobject spectroscopy

- At low  $z$ :
  - Field galaxy luminosity function to fainter limits
  - Satellite luminosity functions for external galaxies
  - Metallicities of faint galaxies
  - Escape fraction of ionizing photons
- At high  $z$ :
  - Luminosity function of star-forming galaxies
  - Escape fraction of ionizing photons

# Big questions in galaxy evolution

A subjective choice!

## The 7 questions of galaxy evolution

- Cosmic star formation history and growth of stellar mass?
- The first galaxies: how was the universe reionized?
- Galaxy assembly and origin of galaxy morphology?
- Gas and metals cycling in and out of galaxies?
- Growth of supermassive black holes?
- Role of feedback processes from supernovae and AGN?
- What is the IMF? Is it universal?

Together with physics-based modelling, the next generation of multiobject spectrographs should answer at least some of the questions